



Approval Authority Meeting
Thursday, November 12, 2015
10:00 a.m.

Location

Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

Agenda

1. CALL TO ORDER
ROLL CALL

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Cathey Eide, City of Oakland
Member	Ryan Broughton, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Al Terrell, County of Sonoma

General Manager Craig Dziedzic

2. APPROVAL OF THE MINUTES (Discussion, Possible Action)

Discussion and possible action to approve the draft minutes from the October 8, 2015 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from October 8, 2015.)* 5 mins

3. GENERAL MANAGER'S REPORT (Discussion, Possible Action)

General Manager Craig Dziedzic will present the General Manager's Report:

- a) FY16 Proposal Process Update
- b) Asset Catalog Review
- c) Management Team Update
- d) Management Team Tracking Tool and Future Agenda Items

(Documents for this item are a report and the Tracking Tool from Craig Dziedzic.) 5 mins

4. **BROWN ACT UPDATE** (Discussion)
Approval Authority attorney Robin Donoghue from the firm of Meyers-Nave will provide an update on Brown Act requirements. (*Document for this item is a report from Robin Donoghue.*) 10 mins
5. **2015 THIRA** (Discussion, Possible Action)
Assistant General Manager Catherine Spaulding and Haystax Project Manager Jason Carroll will present the 2015 THIRA. (*Document for this item is a report from Catherine Spaulding and a PowerPoint from Jason Carroll.*) 10 mins
6. **LARGE SPECIAL EVENT CONCEPT OF OPERATIONS TEMPLATE PROJECT UPDATE** (Discussion)
Project Manager Corinne Bartshire will provide an update regarding the development of a Large Special Event (LSE) Concept of Operations (CONOPS) Template. (*Documents for this item are a report and a PowerPoint from Corinne Bartshire.*) 10 mins
7. **FY14 UASI REALLOCATION OF GRANT FUNDS REPORT** (Discussion)
Chief Financial Officer Tristan Levarado will present an update on the reallocation of FY14 grant funds. (*Document for this item is a report from Tristan Levarado.*) 5 mins
8. **ANNOUNCEMENTS-GOOD OF THE ORDER**
9. **GENERAL PUBLIC COMMENT**
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.
10. **ADJOURNMENT**

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.

Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.
- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access

The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI Administrative Assistant, at least 24 hours prior to the meeting at (415) 353-5223.



**Bay Area UASI Program
Approval Authority Meeting
Thursday, October 8, 2015
10:00 AM**

LOCATION

Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

**REGULAR MEETING MINUTES
DRAFT**

1. Roll Call

UASI Vice-Chair Rich Lucia called the meeting to order at 10:03 AM and General Manager Craig Dziedzic subsequently took the roll. Members Raymond Guzman, Cathey Eide, Sherrie Collins, Bob Doyle, Al Terrell, and Mike Casten were present. Chair Kronenberg and Members Ken Kehmna and Carlos Bolanos were absent, but their alternates, respectively Rob Dudgeon, Dana Reed, and Jeff Kearnan, were present. Member Ryan Broughton was not present and neither was his alternate.

2. Approval of the Minutes

Vice-Chair Lucia asked for any comments or questions concerning the minutes from the August 13, 2015 meeting. Seeing none, he requested a motion to approve the minutes.

Motion: Approve the minutes from the August 13, 2015 Approval Authority Meeting

Moved: Member Guzman **Seconded:** Member Eide

Vote: The motion was passed unanimously.

3. General Manager's Report

(a) Securing the Cities Grant Update

General Manager Craig Dziejdzic reported on the status of the Bay Area UASI's application for the Securing the Cities Grant. On September 9, 2015, the Department of Homeland Security (DHS) announced that Houston and Chicago were the recipients of the FY 2015 and FY 2016 grant funding for the Securing the Cities program. The recipient for FY 2017 will not be announced until later in 2017.

In response to the announcement, the Management Team contacted the Domestic Nuclear Detection Office (DNDO) and had an opportunity to discuss the submitted application. The constructive comments from DNDO will be incorporated in the future application to the program.

In the meantime, the Bay Area UASI will be hosting the DNDO conference/training event scheduled December 7-10, 2015 to be held at the Alameda County Sheriff's Office.

(b) The 2015 California Emergency Services Association (CESA) Annual Training and Conference

Mr. Dziejdzic reported on the recent CESA Annual Training and Conference. During the week of September 28, Janell Myhre, Corinne Bartshire, and the General Manager attended the 2015 CESA Annual Training & Conference in South Lake Tahoe, CA. Mr. Dziejdzic participated on a panel with John Valencia, Executive Director of the City of San Diego, and Vinny Mata, Emergency Manager, City of Sunnyvale. The panel presentation was entitled "Creating Order in the Chaos of EOC Situational Awareness Tools".

Janell Myhre and Corinne Bartshire presented "Recovery Planning Tools and Templates", whereby they were the recipients of the 2015 Gold Award Winner for creating the Just-In-Time training videos.

(c) Management Team Tracking Tool

Mr. Dziejdzic announced that the next Approval Authority Meeting will be the last of the year and will occur on November 12, 2015.

There were no additions to the Tracking Tool.

4. POD Planning and Security

At the August 2015 meeting, Members of the Approval Authority requested additional information concerning the Points of Distribution (POD) Planning and Security Project.

Regional Program Manager Janell Myhre reported on the status of this project as well as the Management Team's approach to the project.

The UASI Management Team will work with a consultant and hold workshops with regional partners to identify POD locations, types, and security equipment needs. The consultant will also provide in-depth technical assistance to select pilot sites. In August 2016, a Tabletop Exercise for law enforcement agencies will be held, and the following month Yellow Command 2016 will conduct a POD activation exercise with lessons learned from the preceding tabletop exercise. In March 2017, based on the Urban Shield/Yellow Command After Action Report, further research, and regional consultation, the Management Team will develop a plan for procurement options of the needed POD equipment.

Members discussed some logistical issues concerning the implementation of the POD exercise into Yellow Command given the current duration of the exercise. Ms. Myhre and General Manager Dziejcz acknowledged this concern and said that this is being discussed in the Urban Shield and Yellow Command planning groups. Additionally, Members discussed how it would be beneficial if the Tabletop occurring in August 2016 could be turned into a tool and implemented locally in operational areas that are not part of the pilot sites.

5. Mutualink Investments

At the August 2015 meeting, Members of the Approval Authority requested additional information concerning the status and use of Mutualink within the Bay Area UASI region. Regional Program Manager Janell Myhre reported that the initial 2010 roll out of equipment was ineffective because it occurred with little to no training, policies or standard operating procedures. Currently, there are 22 legacy Mutualink workstations and 11 of the more advanced, cloud-enabled Edge systems. After research and input from Bay Area stakeholders, the Management Team recommends a phased approach to ensure the Mutualink equipment investment is used effectively. Phase one, to be completed by March 30, 2016, involves Mutualink focus groups facilitated by the NCRIC and the Management Team. Phase two, to be completed by October 1, 2016, involves developing a strategy for an effective Mutualink system roll out based on outcomes from the focus groups.

Members discussed how current installments of Mutualink could be used for the upcoming Super Bowl.

6. Multi-Year Training and Exercise Plan

Planning and Research Coordinator Matthew Fellows provided an update on the Bay Area UASI Regional Training and Exercise Program's multi-year plan. The plan is reviewed annually to ensure that regional needs and emerging issues are met. The priorities of the plan include the National Preparedness Goal, the Bay Area UASI Homeland Security Goals

and Objectives, and the Bay Area UASI regional risk and gap analysis. The current plan expires in December 2015 and the Regional Training and Exercise Program will release a new multi-year plan shortly.

7. Regional Medical and Public Health Workgroup Update

UASI Project Manager Dr. Eric Shanks, San Mateo County Bio-Terrorism Coordinator Carl Hess, and Contra Costa County Emergency Services Manager Kim Cox presented an update on the activities of the Bay Area UASI Regional Medical and Public Health Workgroup. The workgroup includes regional partners such as the Association of Bay Area Health Officials (ABAHO), the Bay Area Mass Prophylaxis Working Group (BAMPWG) and the California Region II Regional Disaster Medical Health Coordinator (RDMHC) which coordinates the Medical Health Operational Area Coordinators (MHOAC). The work group provides the UASI Management Team with the related Bay Area subject matter expertise for input on risk management profiles and regional projects.

Presenters spoke about benefits provided to the region by the four major UASI-funded projects. The projects include a full scale exercise project, incident response guides, multi-agency coordination guides, and the mass prophylaxis sustainment project.

8. Resource Inventory Project Update

Contracts Specialist Mikyung Kim-Molina provided a status update regarding the Bay Area UASI's resource inventory project. In 2010, CalOES requested that the Bay Area UASI continue to support the development of a resource management software system called "Incident Reporter". The Bay Area UASI eventually took ownership of the application and renamed it the "UASI Resource Inventory Application". This system is not actively in use today and has not been updated since 2012.

In November 2014, the UASI Management Team began a needs assessment for a regional resource management system and distributed a survey to identify how agencies currently track their resource inventory.

Based on the need for a regional resource management system and the responses gathered by the survey, the Management Team recommends use of the WebGrants Grants Management System for future resource inventory tracking. Compared to other potential tracking systems, the advantages to using WebGrants include automatic data population from proposal applications, zero cost to jurisdictions, zero staff support needed, and assets can be viewed and shared amongst the region. The disadvantages to using the WebGrants system include no real-time tracking and only UASI-funded equipment will be automatically populated into the system. However, jurisdictions have the option of using the WebGrants system to input data on equipment purchased with other funding sources.

Members discussed the various systems in place and what types of attributes would be need for a regional system to be effective for jurisdictions.

9. Website Enhancement Update

Emergency Services Coordinator Ethan Baker introduced and demonstrated the newly redesigned Bay Area UASI website located at www.bayareauasi.org. The new website includes design and usability enhancements that streamline information sharing and help highlight regional programs, initiatives, and events.

10. BayRICS JPA Quarterly Report

BayRICS General Manager Barry Fraser provided a quarterly report of the activities of the BayRICS JPA. The BayRICS Board approved a budget for FY 15-16 and FY 16-17 that resulted in a 50% reduction in annual expenses by making the position of General Manager part-time. This move was accomplished in a way that keeps most operations viable while setting public safety broadband and FirstNET planning as the top priorities. Other highlights from the report include P25 interoperability success during the Urban Shield Yellow Command exercises and regional collaboration with FirstNET.

11. UASI Travel Expenditures

Chief Financial Officer Tristan Levardo provided a report detailing the travel expenditures for the Bay Area UASI for the period of April 1, 2015 to September 30, 2015.

12. Announcements – Good of the Order

Alternate Jeff Kearnan announced that Captain Alma Zamora of the San Mateo County Sheriff's Office will be his replacement as Alternate for San Mateo County on the Approval Authority.

13. General Public Comment

One member of the public who volunteered at a San Jose fire station during Urban Shield 2015 applauded the organizers of the Red Command for a well-executed exercise.

14. Adjournment

The meeting adjourned at 11:26 AM.



To: Bay Area UASI Approval Authority
From: Craig Dziedzic, General Manager
Date: November 12, 2015
Re: Item #3: General Manager's Report

Staff Recommendation:

None

Action or Discussion Items:

- (a) UASI FY 2016 Project Proposal Process (Discussion Only)
- (b) Risk Management/Asset Catalogue Update (Discussion Only)
- (c) Management Team Update (Discussion Only)
- (d) National Fusion Center Assn Annual Training Event (Discussion Only)

Discussion:

(a) UASI FY 2016 Project Proposal Process

The online FY 2016 project proposal submission began on October 1, 2015 and closed on October 16, 2015. The Management Team received a total of 156 applications amounting to approximately \$36 million, which is \$17 million more than the anticipated funding allocation of \$19 million.

After receiving the proposals, there was an extensive review process. The proposals were initially reviewed for compliance; and thereafter, there was a financial and programmatic review.

Approval Authority members will have the opportunity to review their jurisdiction's proposals from November 23, 2015 through December 11, 2015 to ensure consistency with their own operational area and/or core city priorities.

On October 29, 2015, Janell Myhre, BAUASI Regional Program Manager, sent an email to the Approval Authority members requesting the names of the Hub Voting members by November 18, 2015. She will be coordinating the upcoming Hub and Regional proposal prioritization meetings, which are as follows;

- **Jan 13th** - North Bay, Marin County Sheriff's Assembly Room, San Rafael
- **Jan 15th** - South Bay, Santa Clara County Sheriff's Assembly Room, San Jose
- **Jan 20th** - East Bay, Alameda County Sheriff's Assembly Room, Dublin
- **Jan 22nd** - West Bay, San Francisco EOC, 1011 Turk St, San Francisco
- **Feb 25th** - Level Two Regional, Alameda County Sheriff's Assembly Room, Dublin

At the January 14, 2016 Approval Authority meeting the Management Team will seek approval for the level one regional projects: fusion center, training/exercise, public safety information sharing, Bay RICS/ interoperability, public health/medical, and projects implemented by the Management Team.

(b) Risk Management/Asset Catalogue Update

At the July 9, 2015 Approval Authority meeting, the Management Team presented a Capability and Asset Risk Update. It was mentioned that the Northern California Regional Information Center (NCRIC) conducted an annual review of updated asset information and validated data items that effect asset risk, which is a component of the risk formula. The other two components are population and economic risk.

Upon review of the asset catalogue, the NCRIC realized that there are a number of assets across all of the counties that could possibly be duplicates and/or incorrectly indexed. The NCRIC has formed a task group with the Management Team and Haystax to review approximately 15,036 assets to ensure the data quality so the assets are correctly indexed and categorized. Such review may or may not affect the overall risk of a particular jurisdiction depending on whether the priority level of the asset changes. The project should be completed by the end of the year and we will continue to keep the Approval Authority members informed of the progress.

(c) Management Team Update

Mr. Corey Reynolds was selected for the position as the Whole Communities and Communications Project Manager. He will be responsible for developing/implementing regional programs with a focus on citizen preparedness, emergency planning, community resiliency, public information and warning, and emergency communications. Additionally, he will be responsible for facilitating the interoperable communications work group meetings

Mr. Reynolds earned a Bachelor of Science from the University of Colorado and a Master of Public Policy from the University of California, Berkeley, CA. He has seven years of project management experience in emergency management planning and emergency management communications, including working with FirstNet, Project 25, and the National Institute of Standards and Technology's Public Safety Communications Research program. Mr. Reynolds will start his new position on November 16, 2015.

(d) The National Fusion Center Association (NFCA) Annual Training Event

The National Fusion Center Association (NFCA) Annual Training Event occurred November 2nd through November 5th. Mike Sena, President of the NFCA and Director of the NCRIC hosted the event in Alexandria, Virginia. Representatives from federal, state, and local agencies participated in the training sessions and discussed strategies and best practices for information and sharing, outreach/training, and collaboration. Brian Rodrigues, NCRIC Assistant Deputy Director, and the BAUASI General Manager, presented on “Building Cyber Capabilities Through regional Collaboration”. Keynote Speakers included the Honorable James Clapper, Director of National Intelligence, and the Honorable James Comey, FBI Director, and the Honorable Suzanne Spaulding, Under Secretary National Protection and Programs Directorate, Department of Homeland Security.

UASI Approval Authority and Management Team Tracking Tool

November 12, 2015 Approval Authority Meeting

#	Name	Who	Date Assigned	Due Date	Status / Comments
1	Election of Officers	Craig Dziedzic	5/20/15	1/14/16	
2	Proposed Regional FY16 Projects	TBD	5/19/15	1/14/16	
3	FY16 Risk Management Cycle	Catherine Spaulding and Dave Frazer	7/14/15	1/14/16	
4	Urban Shield After Action Report	Tom Wright and Janell Myhre	7/14/15	1/14/16	
5	FEMA Regional Administrator Introduction	Robert Fenton, FEMA Region IX	10/5/15	2/11/16	
6	American Red Cross Update	Tracey McBroom, American Red Cross	6/3/15	2/11/16	
7	Lake County Fires Mental Health Mutual Aid Response	Fred Claridge, Alameda County Public Health (TBC)	10/20/15	2/11/16	
8	Lake County Fires Response Report	Sheriff Brian Martin, Lake County Jodi Traversaro, Cal OES Coastal Region (TBC)	10/20/15	2/11/16	
9	Regional Procurement	Catherine Spaulding	10/20/15	2/11/16	
10	Update on FY11, 12, and 13 Unspent Funds Allocated to Regional Projects	Janell Myhre	6/17/15	2/11/16	
11	Update on State-Federal Catastrophic Earthquake Planning	Janell Myhre	7/24/15	2/11/16	
12	UASI FY16 Hub and Regional Projects	Catherine Spaulding	10/20/15	4/14/16	
13	UASI FY16 Allocations	Catherine Spaulding	5/19/15	4/14/16	
14	Regional Large Special Events ConOps Project Close Out	Janell Myhre	7/14/15	4/14/16	
15	Mutualink Investments Update	Janell Myhre	10/20/15	4/14/16	
16	Cyber Program Report Out	Dave Frazer and Elizabeth McCracken	11/4/15	5/12/16	
17	Web EOC Assessment Update	Woody Baker-Cohen	11/4/15	5/12/16	

18	Cal COP and Web EOC Integration Project Update	Dave Frazer	10/19/15	5/12/16	
19	Bay Area UASI Management Team Annual Budget and Annual Report	Craig Dziedzic	10/19/15	7/14/16	
20	Risk and Gap Report	Catherine Spaulding	10/20/15	8/11/16	
21	FY17 Proposal Guidance	Catherine Spaulding	10/20/15	8/11/16	
22	2016 THIRA Process	Catherine Spaulding	10/20/15	8/11/16	
23	POD Security Planning Update	Janell Myhre	10/22/15	8/11/16	
24	2016 THIRA	Catherine Spaulding and Jason Carroll	10/20/15	11/10/16	
25	FEMA IX Medical Countermeasures Planning Update	Dr. Erica Pan (TBC)	6/17/15	11/10/16	
<i>Reoccurring agenda items are below</i>					

Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levarado		1/14/16 4/14/16 5/12/16 11/10/16	FY15 UASI Spending Report UASI Travel Expenditures Reallocation of Grant Funds Reallocation of Grant Funds
B	BayRICS JPA Quarterly Report	Report	Barry Fraser		1/14/16 4/14/16 10/13/16	BayRICS JPA Report
C	Election of UASI Officers	Discussion & Action Item	Chair		1/14/16 (Annually)	
D	NCRIC Annual Report	Report	Mike Sena		2/11/16 (annually)	
E	Training and Exercise Program Annual Report	Report	Tom Wright		2/11/16 (annually)	



To: Bay Area UASI Approval Authority

From: Robin Donoghue, Meyers-Nave

Date: November 12, 2015

Re: Item #4: Brown Act Update

Staff Recommendation:

None

Action or Discussion Items:

Discussion

Discussion:

Robin Donoghue from the firm of Meyers-Nave will provide an update to the provisions of the Ralph M. Brown Act, which govern open meetings for local government bodies.



To: Bay Area UASI Approval Authority
From: Catherine Spaulding, Assistant General Manager
Date: November 12, 2015
Re: Item #5: 2015 THIRA

Staff Recommendation:

Approve the THIRA for submission to Cal OES

Action or Discussion Items:

Action

Discussion:

The Threat and Hazard Identification and Risk Assessment (THIRA) is a four step risk assessment process that helps stakeholders to understand risks and estimate capability requirements. FEMA requires all states, UASIs, and tribal nations to complete a THIRA on an annual basis in order to receive federal homeland security grant dollars. It is important to our strategic planning and investment justifications at both the regional and state level. The THIRA should guide how we allocate the dollars that we receive although it does not affect the amount of funding FEMA provides to us.

The Management Team has updated the 2015 THIRA and presents it to the Approval Authority for approval for submission to Cal OES and subsequently to FEMA. The THIRA is based on information used last year as well as local subject matter expert input, existing Bay Area plans and reports, real world scenarios, resource modeling and estimation resources, and other open source research.

The THIRA document is available in hard copy at the November Approval Authority meeting. Please note that the document has been prepared following FEMA's formatting specifications. We would like to thank the many regional stakeholders who reviewed the THIRA and provided relevant subject matter expertise.

Jason Carroll, Haystax Technology Project Manager, will provide a background briefing on the updated THIRA. Haystax is under contracted to support the preparation of all California UASI THIRAs.

BAY AREA UASI

Threat and Hazard Identification and Risk Assessment (THIRA)

Approval Authority Meeting
November 12, 2015



THIRA Based on DHS Guidance & Analytics

DHS Guidance

Guidance Docs

- CPG 201 2nd Edition
- National Preparedness Goal
- HSGP Guidance

DHS Concepts

- Whole Community
- Core Capabilities
- National Preparedness System

Analytical Approach

Data Driven Inputs

- Risk Analysis
- Capability Assessment
- Gap Analysis

Local SME Inputs

- CIKR Catalog
- Risk Verification
- Capability Verification
- Project Lists - UASI, Mitigation, etc.

THIRA



Major Components of the THIRA

This THIRA is organized around the following components:

- Step 1: Identify the Threats and Hazards of Concern
- Step 2: Give the Threats and Hazards Context
- Step 3: Establish Capability Targets
- Step 4: Apply the Results/Resource Requirements



Recap of 2015 Bay Area THIRA Process



Highlights:

- Approval Authority Kickoff (July)
 - Radiological/Nuclear Scenario
 - New Resource Estimation Reqs.
- Survey Monkey Stakeholder/Subject Matter Expert Scenario Review
 - Approximately 50 responses
 - Recommendations that increased stress to Bay Area UASI capabilities
- Workshop/Feedback Incorporation
- Completion of THIRA Process Before Holidays



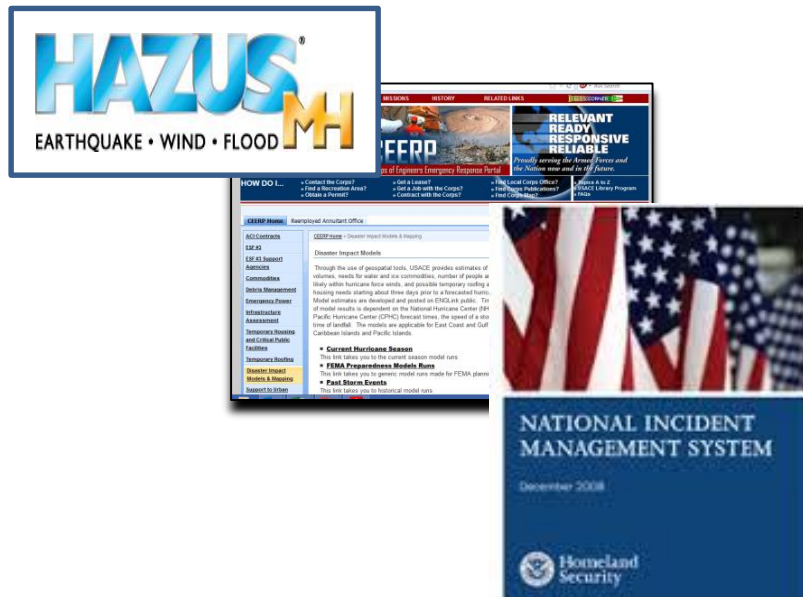
THIRA Stakeholder Review and Workshop



Results:

- Whole Community Participation
 - UASI Management Team
 - NCRIC
 - Federal and State Representatives
 - Non-Governmental Partners
 - Participants from all four hubs
 - Emergency Management, Law Enforcement, Fire, and Public Health
- Scenario Updates
- Capability Target Revisions
- Resource Requirements Review
 - Breakout Sessions by Subject Matter Area

Feedback/Lessons Learned



- General
 - Expand efforts to continue to embrace the Whole Community participation
- Scenarios
 - Based on feedback, changed from improvised nuclear device to radiological dispersal device
 - To be considered for 2016 – new public health and tsunami scenarios, update to earthquake scenario
- Capability Targets
 - Reviewed the 31 DHS Core Capability Targets across all 5 mission areas
 - Incorporated changes to 14 of the 31 Capability Targets
- Resource Requirements
 - All Common, Response, and Recovery Capabilities
 - SMEs provided feedback on the 18 DHS Core Capabilities with estimated resource requirements



Next Steps/Moving Forward

- Submit THIRA to Cal OES
 - Continued Bay UASI and State Coordination
- **Submit THIRA to FEMA by December 31, 2015**
- 2016 Process
 - National Preparedness Goal, Second Edition Released
 - New Fire Management and Suppression Capability
 - Enhanced narrative on Cybersecurity and Climate Change



Thank you.

BAY AREA UASI





To: Bay Area UASI Approval Authority

From: Corinne Bartshire, Project Manager

Date: November 12, 2015

Re: Item 6: Large Special Event (LSE) Concept of Operations (CONOPS) Template Project Update

Staff Recommendations:

None

Action or Discussion Items:

Discussion

Background:

On April 9, 2015, the Approval Authority allocated \$350,000 for Super Bowl 50 Regional Coordination to be managed by the UASI Management Team. The first update on this effort was presented to the Approval Authority on August 13, 2015. This serves as a second update on the progress made through a contract with Tetra Tech for three key services: 1) Development of an Emergency Management Large Special Event Concept of Operations Plan Template, 2) Stakeholder Engagement / Regional Workshops, and 3) Planning Liaison Support for Super Bowl 50.

Discussion:

This presentation is being provided as an update for the UASI Approval Authority regarding the accomplishments to date and future activities included in the Tetra Tech contract.

The attached Appendix A is a Power Point presentation to support discussion of this item.

BAY AREA UASI

Large Special Event Concept of Operations Template Project

November 12, 2015

Corinne Bartshire
Regional Resilience and Recovery Project Manager
Bay Area UASI





Purpose

- Develop Emergency Management Large Special Event (LSE) Concept of Operations (CONOPS) Template
 - For use during Super Bowl 50 and future events
- Leverage Yellow Command 2015 to vet Regional CONOPS Plan and build capabilities
- Provide planning staff support to key jurisdictions



CONOPS Content Development

June
2015

July

Aug

Sept

Oct

Nov

Dec

Jan
2016

Feb

<- Coordinate with Super Bowl 50 Public Safety Committees ->

Info Sharing Wkshp (6/1)	Interop Radio Planning Wkshp (7/16) Public Info Wkshp (7/23)	Milestone Planning Meetings (8/10 and 8/20) Day to Day Operations Wkshp (8/27)	Yellow Command Exercise (9/11)	Mutual Aid Wkshp (10/29)		Plan Validation Workshop (12/9)	Plan Orientation (1/13)	Super Bowl 50 (2/7)
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CONOPS Plan Review & Comment Period

CONOPS Template Features

- Template Instructions
- Planning for a LSE
- LSE CONOPS
- Organizational Structure
- Regional Coordination & Communication
- Regional Logistics / Resource Coordination
- Post-Event Activities
- Appendices (checklists, EEIs, Public Information Guide)



Leveraging Yellow Command

- Practiced EOC posture for SB50
- Activated Santa Clara City Public Safety JIC per SB50 plan
- Practiced information sharing tools (Cal COP, CalEOC/WebEOC)
- Tested interoperable radio systems at Levi's Stadium





Super Bowl 50 Planning Support

Jurisdiction	Support Provided
San Francisco	Developing city-wide tabletop exercises (Regular Day and Bad Day)
County of Santa Clara	Developing reference binder Developing TTX Facilitate Op Area SB50 After Action Report
City of Santa Clara	Supporting Cal COP asset management Supporting Cal COP use on SB50 Game Day Developing reference binder
City of Palo Alto City of San Jose City of Oakland County of Alameda County of San Mateo	Bi-weekly coordination calls Virtual planning support available

Next Steps

- Continue SB50 Planning Support
- Final Draft CONOPS Template (distribute to RCPT on 11/18)
- CONOPS Template Validation (December 9, 2015)
- Final CONOPS Template (January 6, 2015)
- CONOPS Template Orientation (January 13, 2015)



Questions?

BAY AREA UASI





To: Bay Area UASI Approval Authority
From: Tristan Levardo, Chief Financial Officer
Date: November 12, 2015
Re: Item #7: Reallocation of Grant Funds

Staff Recommendation:

None

Action or Discussion Items:

Discussion

Discussion:

Pursuant to the Approval Authority Bylaws, Section 8.6 Modification of Grant Allocations, the Management Team shall report project budget changes under \$250,000 to the Approval Authority on a biannual basis.

Appendix A illustrates the pertinent budget changes for the six months ended October 31, 2015.

Reallocation of Grant Funds (less than \$250K)

For the Period May 1, 2015 through October 31, 2015

Jurisdiction	Project, Solution Areas	Project Categories	Initial Allocation	Reallocation	Cumulative Change	Justification
Marin	F-Equipment	Mass Notification System	50,000	58,023	8,023	Unspent funds reallocated to Project D
Marin	D-Equipment	Boat Stern Drive Upgrade	33,420	25,397	(8,023)	Savings from Project F
Oakland	A-Training	High Rise Training	19,000	-	(19,000)	Unspent core city funds to cover increase in personnel budget
Oakland	A-Planning	Planning budget	628,000	647,000	19,000	Unfinished training project from core city allocation
San Francisco	F-Equipment	Notification System	172,580	102,580	(70,000)	Savings in core city funds reallocated to Projects C and D
San Francisco	C-Equipment	Mobile Radio Units	-	10,000	10,000	New project funded by savings from core city allocation
San Francisco	D-Equipment	Response Vehicle	-	60,000	60,000	New project funded by savings from core city allocation
Richmond	D-Equipment	Truck and trailer	150,000	-	(150,000)	Unspent funds reallocated to the hub
Alameda	F-Planning	Unified Emergency Notification System	-	61,397	61,397	Funds from unfinished Richmond project
Alameda	E-Equipment	POW Care Response	-	20,000	20,000	Funds from unfinished Richmond project
Contra Costa	C-Equipment	Radio Backup System	-	40,932	40,932	Funds from unfinished Richmond project
Contra Costa	D-Equipment	Incident Command Vehicle	-	27,671	27,671	Funds from unfinished Richmond project
		Grand Total for FY2014 UASI Reallocation	1,053,000	1,053,000	-	