



## **Approval Authority Meeting**

Thursday, January 8, 2015

10:00 a.m.

### **LOCATION**

Alameda County Sheriff's Office OES

4985 Broder Blvd., Dublin, CA 94568

**OES Assembly Room**

### **AGENDA**

#### **1. CALL TO ORDER ROLL CALL**

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Cathey Eide, City of Oakland
Member	Ryan Broughton, City of San Jose
Member	Ken Kehmna, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Al Terrell, County of Sonoma
Member	Vacant, Cal OES

General Manager      Craig Dziedzic

#### **2. APPROVAL OF THE MINUTES** (Discussion, Possible Action)

Discussion and possible action to approve the draft minutes from the November 13, 2014 regular meeting or take any other action related to the matter. (*Document for this item includes draft minutes from November 13, 2014.*) 5 mins

#### **3. GENERAL MANAGER'S REPORT** (Discussion, Possible Action)

General Manager Craig Dziedzic will give an update regarding the following:

- a) UASI FY 2015 Project Proposal Update
- b) FY 2015 Grant Programs and the Continuing Resolution
- c) 2015 National Homeland Security Conference
- d) Approval of the 2015 Calendar for Approval Authority Meetings

(*Documents for this item are a report and an appendix from Craig Dziedzic.*) 5 mins

#### **4. ELECTION OF UASI OFFICERS FOR 2015** (Discussion, Action)

The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the year 2015. Possible action to support any recommendation(s) or take any other action related to this matter. 10 mins

5. **REGIONAL RCPGP PLAN UPDATE** (Discussion, Possible Action) Regional Program Manager Janell Myhre and Cal OES representatives will provide an update on the integration of the Bay Area's regional catastrophic earthquake plans with state and federal plans. (*Documents for this item are a report from Janell Myhre, Tina Curry, and Sandra McKenzie and an appendix from CalOES.*) 10 mins
6. **2014 URBAN SHIELD/YELLOW COMMAND AFTER ACTION REPORT** (Discussion, Possible Action) Project Managers Dennis Houghtelling and Corinne Bartshire will present the After Action Report (AAR) for the 2014 Urban Shield Exercise and Yellow Command. (*Documents for this item are a report and two PowerPoints from Dennis Houghtelling and Corinne Bartshire.*) 10 mins
7. **CYBER SECURITY UPDATE** (Discussion, Possible Action) Project Manager Dave Frazer and NCRIC Cyber Security Analyst Brian Rodrigues will provide an update on the Cyber Security Project. (*Document for this item is a report from Dave Frazer and Brian Rodrigues.*) 10 mins
8. **PUBLIC SAFETY INFORMATION SHARING UPDATE** (Discussion, Possible Action) Project Manager Dave Frazer and regional stakeholders will provide an update on the regional public safety information sharing project. (*Documents for this item are a report and a PowerPoint from Dave Frazer, Jason Vorhauer, Ronda Alcantara, and Juan Gallardo.*) 10 mins
9. **CONTINUITY OF OPERATIONS - CONTINUITY OF GOVERNMENT UPDATE** (Discussion, Possible Action) Project Manager Corinne Bartshire will provide an update on the Continuity of Operations- Continuity of Government (COOP-COG) project. (*Documents for this item are a report and a PowerPoint from Corinne Bartshire.*) 5 mins
10. **FY 13 UASI QUARTERLY SPENDING REPORT** (Discussion, Possible Action) Chief Financial Officer Tristan Levardo will provide an update on expenditures for the fourth quarter of 2014. (*Document for this item is a report from Tristan Levardo.*) 5 mins
11. **TRACKING TOOL** (Discussion, Possible Action) Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool. (*Document for this item is the UASI Approval Authority Tracking Tool.*) 5 mins
12. **ANNOUNCEMENTS-GOOD OF THE ORDER**
13. **FUTURE AGENDA ITEMS** (Discussion)  
The Approval Authority members will discuss agenda items for future meetings.
14. **GENERAL PUBLIC COMMENT**  
Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.
15. **ADJOURNMENT**

**If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA 94102 during normal office hours, 8:00 a.m. - 5:00 p.m.**

## **Public Participation:**

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.
- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.
- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.
- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.
- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.
- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals

making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

**Disability Access**

**The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.**

**In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI administrative assistant, at least 24 hours prior to the meeting at (415) 353-5223.**



**Bay Area UASI Program  
Approval Authority Meeting  
Thursday, November 13, 2014  
10:00 AM**

**LOCATION**

Alameda County Sheriff's Office OES  
4985 Broder Blvd., Dublin, CA 94568  
**OES Assembly Room**

**REGULAR MEETING MINUTES  
DRAFT**

**1. Roll Call**

UASI Chair Anne Kronenberg called the meeting to order at 10:10 AM. Subsequently, UASI Assistant General Manager Catherine Spaulding took the roll. Vice-Chair Rich Lucia and Members Colleen Mulholand and Mike Casten were present. Members Raymond Guzman, Ken Kehmna, Bob Doyle, Sherrie Collins, and Carlos Bolanos were absent, but their alternates, respectively Charlie Orkes, Dana Reed, Dave Augustus, Phil Penko, and Mark Wyss, were present. Both Renee Domingo and Cal OES were not present and neither were their alternates. Alternate Christopher Helgren arrived at 10:19 AM.

**2. Approval of the Minutes**

Chair Kronenberg asked if there were any comments or questions concerning the minutes from the September 11, 2014 meeting. Seeing none, she asked for a motion to approve the minutes.

**Motion:** Approve the minutes from the September 11, 2014 Approval Authority Meeting.

**Moved:** Alternate Member Reed      **Seconded:** Alternate Member Augustus

**Vote:** The motion was passed unanimously.

Chair Kronenberg then moved to Item 3.

### **3. General Manager's Report**

#### **(a) FY 15 Project Proposal Submission Update**

UASI General Manager Craig Dziejcz provided an update on the project proposal submission process for Fiscal Year 2015. He stated that on September 24, 2014, the UASI Management Team hosted a kick-off meeting at the Alameda County Sheriff's Office with over 100 attendees. The Management Team also hosted a webinar with 35 participants and added downloadable tutorial videos to their website.

The BAUASI Management Team opened up the proposal submission process from October 1<sup>st</sup> through October 31<sup>st</sup> and received 168 proposal submissions, up from 120 last year. The Management Team is now reviewing each proposal for grant compliance.

#### **(b) Bay Area Preventative Radiological/Nuclear Detection (PRND) Program**

Mr. Dziejcz provided an update on the PRND Program and a working group meeting held on October 28, 2014. He thanked Chief Bruce Martin for his role as Project Manager of the BAUASI CBRNE Program.

#### **(c) Multimedia /Website Update**

Mr. Dziejcz announced a quarterly newsletter with the first issue being published on November 3, 2014. He thanked Ethan Baker for leading the project as well as regional partners for gathering photos and articles for the first issue.

Additionally, Mr. Dziejcz announced that the Management Team is seeking proposals for a web-based grants management system. The project is scheduled to begin in early 2015.

#### **(d) The National Fusion Center Association (NFCA) Annual Training Event**

Mr. Dziejcz reported on the NFCA training event which occurred from November 4<sup>th</sup> through November 6<sup>th</sup>. The main topic was enhancing capabilities at a local level and information sharing through fusion centers. He invited NCRIC Deputy Director Dan Mahoney to speak about the event.

Mr. Mahoney stated that it was a 3 day conference with 45 breakout sessions covering various topics. There were between 600 and 650 attendees from 47 states and the Territories of Puerto Rico and Guam. The highlighted speakers included Secretary of Homeland Security Jeh Johnson and FBI Director James Comey.

Mr. Dziejcz concluded his report by stating that the Washington, D.C. UASI is using the policies and procedures of the BAUASI as a model in their own organization.



Vice-Chair Lucia discussed the idea of administration and how a Joint Powers Authority (JPA) might make sense for a region wide program. Chair Kronenberg seconded this sentiment.

Mr. Thapa agreed that this was a valid point and that his workgroup is using several regional models to determine what is appropriate for the Bay Area.

Chair Kronenberg asked for and questions or comment from the members. Seeing none, she asked for public comment. Hearing none, she moved on to Item 6.

## **6. RAD/NUC Working Group Update**

CBRNE Project Manager Chief Bruce Martin reported on the October 28, 2014 PRND meeting. Documents distributed at this meeting included: the draft Concept of Operations, Regional Program Strategy, Equipment Capabilities and Procurement Guidelines, and Capability Survey results.

Chief Martin presented on the development of the Bay Area PRND Program. He outlined funding efforts as: independent funding, Securing the Cities Grants, end of the year hub money requests, and a regional UASI grant application.

When he concluded his report, Chair Kronenberg asked for questions from the members. Seeing none, she asked for public comment. One member of the public asked whether the PRND work was related to radiation from Fukushima. Chief Martin indicated that the PRND program did not focus on the radiation from Fukushima.

UASI General Manager Craig Dziejczic announced that this is Chief Martin's last Approval Authority meeting. He said that is has been a pleasure working with him and that the Management Team feels the same way.

Chair Kronenberg thanked Bruce and moved on to Item 7.

## **7. King Fire Incident Management Teams**

Chief Martin provided a background on the King Fire and reported on the King Fire Incident Management Teams. Chief Martin discussed the logistics of the California Mutual Aid System, a set of mutual aid agreements between regions that allow local government agencies to buy surge capacity fire engines and crews for use in emergencies.

Chair Kronenberg asked for additional comments from the members and public. Seeing none, she thanked Bruce for his work on the PRND program and moved to Item 8.



## 8. Reallocation of Grant Funds Report

Chief Financial Officer Tristan Levarado provided a report on the reallocation of grant funds for the 6 months ending June 30, 2014. Additionally, Mr. Levarado reported that the County of Alameda is requesting the reallocation of \$200,000 in additional salary savings from planning positions to training under the Regional Training and Exercise budget. Since \$150,000 in changes had been previously approved by the Management Team, the result is a cumulative change of \$350,000. These salary savings were realized due to unforeseen changes in staffing, including the departure of 2 full time staff members and the hiring of new personnel at a lower cost. The additional \$200,000 will allow Alameda to conduct a number of training programs that are in high demand, including low angle rescue, school violence, critical incidents, and training related to the Ebola virus.

Chair Kronenberg confirmed that this is an action item and asked for additional comments from the members and public. Seeing none, she asked for a motion.

**Motion:** Approve the request from Alameda County to transfer salary savings of \$200,000 from the Planning budget to the Regional Training and Exercise budget.

**Moved:** Member Casten

**Seconded:** Member Mulholand

**Vote:** The motion was passed unanimously.

Chair Kronenberg then moved on to Item 9.

## 9. BayRICS JPA Quarterly Report

BayRICS General Manager Barry Fraser provided a quarterly report on the activities of the BayRICS Joint Powers Authority. He outlined the BayRICS strategic plan which includes obtaining realistic and stable funding, state planning for FirstNet, interoperability, and bridging the voice-data communications gap. Members of BayRICS will attend the Public Safety Advisory Committee meeting in Oklahoma on December 2<sup>nd</sup> and a CalFRN meeting in Sacramento on December 3, 2014.

Mr. Fraser also reported on the current status of BayLoop. The network currently needs more equipment for region-wide use to be applicable. BayRICS is exploring alternative uses such as WebEOC or ARIES. Additionally, counties may have to assume sustainability costs. Concluding his report, Mr. Fraser announced that DHS has just released its National Emergency Communications Plan Update, the first update since 2008.

Chair Kronenberg asked for comments from the members and public. Seeing none, she moved to Item 10.

**10. Tracking Tool**

Chair Kronenberg asked if there were any additions from members. Seeing none, she asked for comments from the public. One member of the public sought more information on the purpose of the tracking tool.

Chair Kronenberg gave a copy to the person and explained that the tracking tool is a project management tool for the UASI Management Team.

Seeing no further public comment, she moved to Item 11.

**11. Announcements – Good of the Order**

Chair Kronenberg asked the Board for any announcements.

Chair Kronenberg announced the retirement of Renee Domingo. She thanked Ms. Domingo for her longstanding time serving on the Approval Authority. General Manager Craig Dziedzic announced that a card is being passed around for Ms. Domingo.

Chair Kronenberg asked the public for final comment. One member of the public asked the board to block the approval of a bulletproof vehicle being voted on this Tuesday by the Berkeley City Council.

Chair Kronenberg thanked the member and asked for additional comment. Hearing none, she reminded everyone that there is no meeting in the month of December and that elections for Approval Authority members will occur in January. She wished everyone happy holidays.

**12. Adjournment**

The meeting adjourned at 11:25 AM.



**To: Bay Area UASI Approval Authority**  
**From: Craig Dzedzic, Bay Area UASI General Manager**  
**Date: January 8, 2015**  
**Re: Item #3: General Manager's Report**

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**Recommendations:**

- (a) UASI FY 2015 Project Proposal Update (Discussion Only).
- (b) FY 2015 Grant Programs and the Continuing Resolution (Discussion Only).
- (c) The 2015 National Homeland Security Conference (Discussion Only.)
- (d) Approval of the 2015 Approval Authority Meeting Calendar (Discussion, Possible Action).

**Action or Discussion Items:**

- (a) UASI FY 2015 Project Proposal Update (Discussion Only)
- (b) FY 2015 Grant Programs and the Continuing Resolution (Discussion Only)
- (c) The 2015 National Homeland Security Conference (Discussion Only)
- (d) Approval of the 2015 Approval Authority Meeting Calendar (Discussion, Possible Action)

**Discussion/Description:**

**(a) UASI FY 2015 Project Proposal Update (Discussion Only)**

All submitted projects were reviewed by our Project Managers and each proposer was given the opportunity to correct any compliance issues. On December 22, an email invitation for the Hub Prioritization meeting was sent to each Hub and its voting members. It is at these meetings that Hub members will review the list of proposals and prioritize them, both above and below the line. Some Hubs have decided to meet on their own to determine the project prioritization ranking for the FY15 UASI grant year without the assistance of the Bay Area UASI Management Team.

On February 26, the UASI Advisory Group will meet and review the prioritized proposals and make recommendations to the UASI Approval Authority. The Approval Authority will decide whether to approve the recommended hub project submissions at the April 2015 meeting.

**(b) FY 2015 Grant Programs and the Continuing Resolution (Discussion Only)**

The *Consolidated and Further Appropriations Act, 2015* (Public Law No 113-235) (or Continuing Resolution), passed by Congress and signed by President Obama on Dec. 16, 2014 does not provide the Dept. of Homeland Security (DHS) with a full year's appropriation and continues to place DHS under a Continuing Resolution through at least Feb. 27, 2015.

The Continuing Resolution delays the start of FEMA's FY 2015 grant cycle because FEMA cannot administer Fiscal Year (FY) 2015 grant programs without a final FY 2015 appropriation. The length of the delay is dependent on the enactment of a full year's Continuing Resolution, either in the form of a stand-alone DHS Appropriations Act or a full-year's continuing resolution. FEMA cannot begin implementing FY 2015 grant programs until the President signs a final FY 2015 spending bill.

**(c) The 2015 National Homeland Security Conference (Discussion Only)**

The 8th Annual National Homeland Security Conference, hosted by the National Homeland Security Association (NHSA) and the City of San Antonio will be held June 9 - 11, 2015 along the Riverwalk in San Antonio, Texas at the Henry B. Gonzalez Convention Center. Information regarding the agenda and registration will be forthcoming on the NHSA website: [www.nationaluasi.com](http://www.nationaluasi.com).

**(d) Approval of the 2015 Calendar of Approval Authority Meetings (Discussion, Possible Action)**

For the 2015 calendar year, staff requests that the time and place of the Approval Authority meetings occur at 10:00 AM at the Alameda County Sheriff's Office OES on the second Thursday of each month, except for the months of March, June, September, and December. Attached as appendix A is the proposed 2015 Calendar of Approval Authority Meetings.



## 2015 Approval Authority Meeting Calendar

**Location:**

Alameda County Sheriff's Office OES  
4985 Broder Blvd.  
Dublin, CA 94568

**Meeting Time:**

10:00 AM  
Second Thursday of Each Month

January 8, 2015
February 12, 2015
<i>March 12, 2015 - Canceled</i>
April 9, 2015
May 14, 2015
<i>June 11, 2015 - Canceled</i>
July 9, 2015
August 13, 2015
<i>September 10, 2015 - Canceled</i>
October 8, 2015
November 12, 2015
<i>December 10, 2015 - Canceled</i>



**To: Bay Area UASI Approval Authority**  
**From: Craig Dzedzic, Bay Area UASI General Manager**  
**Date: January 8, 2015**  
**Re: Item #4: Election of UASI Officers for 2015**

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**Staff Recommendation:**

None

**Action or Discussion Items:**

Action Item. The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the year 2015. Possible action to support any recommendation(s) or take any other action related to this matter.

**Discussion:**

Following the same process as last year, we will open the nominations for Chair of the Bay Area UASI Approval Authority. We will ask the candidates to make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

After the vote for Chair has taken place, we will open nominations for Vice-Chair of the Bay Area UASI Approval Authority. We will ask the candidates to make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.



**To: Bay Area UASI Approval Authority**  
**From: Janell Myhre, Bay Area UASI Regional Program Manager**  
**Date: January 8, 2015**  
**Re: Item #5: Regional Catastrophic Preparedness Grant Program (RCPGP) Plan Update**

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**Staff Recommendation:**

None

**Action or Discussion Items:**

Discussion only.

**Discussion:**

This item updates the Approval Authority on Bay Area RCPGP (Regional Catastrophic Preparedness Grant Program) regional plan acceptance by CalOES.

CalOES reported to the Approval Authority in November 2013 that they would accept the RCPGP regional plans. This included incorporating them as annexes into the updated CONPLAN (San Francisco Bay Area Earthquake Readiness Response: Concept of Operations Plan) and, where appropriate, into the Regional Emergency Coordination Plan (RECP). Attached as Appendix A is the 2013 letter from CalOES indicating the review process being undertaken.

The next section of this report provides background information on the Bay Area RCPGP plans, current efforts to achieve state acceptance of these plans and their inclusion the joint State/Federal Catastrophic Earthquake plan, and the status of the Local RCPGP Plan Adoption process.

We are pleased to welcome Sandra McKenzie, Emergency Services Coordinator from the CalOES Coastal Region and Christina Curry, Deputy Director for Planning, Protection, and Preparedness from CalOES to this meeting to provide an update on efforts to obtain acceptance of these plans.

## *Background*

### **What are the Bay Area RCPGP Plans?**

Bay Area RCPGP funding produced all hazards catastrophic earthquake scenario-specific operations plans in eight subject areas:

- Mass Fatality;
- Mass Transportation and Evacuation;
- Mass Care and Shelter;
- Interim Housing;
- Volunteer Management;
- Donations Management;
- Debris Removal; and
- Logistics and Critical Lifelines.

The plans include one multi-county regional plan and one individual plan with local information customized to the local jurisdiction for each of the twelve local counties and three major cities in the Bay Area UASI footprint. RCPGP plans were developed for inclusion as annexes to the CalOES Coastal Region's San Francisco Bay Area Regional Emergency Coordination Plan (RECP) and for local adoption to county and core city emergency operations plans. Local OAs (Operational Areas) support local plan adoption and most have adopted their local plans or are in process of doing so as indicated in Table A below.

### **Efforts to Date on State Inclusion and Acceptance of RCPGP Regional Plans**

The Bay Area UASI worked with FEMA, CalOES and local OAs in 2010 and 2013 to conduct two separate series of validation tabletop exercises and two full scale exercises to test and/or validate the Bay Area regional catastrophic plans. At the request of CalOES in 2011, the Bay Area UASI funded a position for a period of 10 months to work at the CalOES Oakland Coastal Region office to train staff and implement the regional RCPGP plans. In 2014, the Bay Area UASI Management Team worked closely with CalOES staff to establish a path forward for regional RCPGP plan inclusion and acceptance in State efforts. UASI Management Team staff has participated in four FEMA/CalOES Coastal Region meetings to observe the catastrophic earthquake planning process and consider how best to provide Bay Area RCPGP information for inclusion into the joint State/Federal Catastrophic Earthquake plan (CONPLAN).





**Table A:  
Local RCPGP Plan Adoption Status**

Plan Subject	Jurisdiction													
	Alameda* County	Contra Costa* County	Solano* County	Sonoma* County	Marin County	Monterey* County	San Mateo* County	San Benito County	Santa Cruz* County	Santa Clara* County	Napa County	San Francisco* City/ County	San Jose* City	Oakland* City
Mass Fatality	Y	WIP	WIP	Y	Y	Y	WIP	Y	WIP	WIP	WIP	Y	WIP	Y
Debris Removal/Mgmt	Y	WIP	Y	N	N	Y	WIP	Y	WIP	WIP	WIP	Y	WIP	Y
Mass Transportation & Evacuation	Y	WIP	Y	N	N	Y	WIP	Y	WIP	WIP	WIP	Y	WIP	Y
Mass Care & Shelter	Y	WIP	Y	N	N	Y	WIP	Y	WIP	WIP	WIP	Y	WIP	Y
Interim Housing	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Volunteer Mgmt	Y	WIP	Y	Y	N	Y	WIP	Y	WIP	WIP	WIP	WIP	WIP	Y
Donations Mgmt	Y	WIP	WIP	Y	N	Y	WIP	Y	WIP	WIP	WIP	WIP	WIP	Y
Logistics & Critical Lifelines	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP	WIP

**Comment LEGEND**

Y:	yes
N:	no
WIP:	work in progress

\*additional comments

Alameda County: The plans with “Y “comment will be used during an applicable incident. There is no formal adoption process for the RCPGP plans as county EOP Annexes.  
 Contra Costa County: All plans are expected to be adopted as Annexes with the updated county EOP by end of 2014.  
 Solano County: Mass Fatality and Donations Management stakeholder review is complete and expected to be adopted as Annexes to county EOP by end of 2015.  
 Sonoma County: The plans with “Y “comment will be used during an applicable incident. There is no formal adoption process for the RCPGP plans as county EOP Annexes.  
 San Mateo County: All plans are in the process of being reviewed, revised and planned for adoption. Estimated adoption time frame is undetermined.  
 Santa Cruz County: All plans are in stakeholder review and planned for adoption. Estimated adoption time frame is 2014-2016.  
 Santa Clara County: All plans are expected to be adopted as Annexes with the revised/updated county EOP. Estimated adoption time frame is undetermined.  
 San Francisco City/County: Mass Fatality is a ME plan. Volunteer and Donations Mgmt need stakeholder review for revision. Work to complete evacuation planning GIS mapping is currently being scoped out.  
 San Jose City: All plans have been through stakeholder review and are expected to be adopted as Annexes with the revised/updated city EOP by end of 2015.  
 Oakland City: The plans with “Y “comment will be used during an applicable incident. All plans are expected to be adopted as Annexes with the city EOP by end of 2015.



November 1<sup>st</sup>, 2013

Janell Myhre, Bay Area UASI Regional Program Manager  
Bay Area Urban Areas Security Initiative (UASI)  
711 Van Ness Avenue #420  
San Francisco, CA 94102

Subject: Cal OES Review of the 8 Bay Area Regional Catastrophic Earthquake Plans

Dear Ms. Myhre:

The California Governor's Office of Emergency Services (Cal OES) has reviewed the 8 "draft" Regional Catastrophic Preparedness Grant Program (RCPGP) Bay Area Urban Areas Security Initiative (BAUASI) Regional Catastrophic Earthquake Plans. The draft plans consist of:

- Debris Removal Plan\*
- Mass Transportation Evacuation Plan
- Mass Care and Shelter Plan
- Interim Housing Plan
- Volunteer Management Plan
- Donations Management Plan
- Mass Fatality Plan
- Logistics Plan

*\*not compliant with FEMA debris plan requirements*

Together with the current San Francisco Bay Area Earthquake Readiness Response: Concept of Operations Plan (CONPLAN), Bay Area county Emergency Operations Plans, and our Standardized Emergency Management System (SEMS), the RCPGP draft plans will ensure a more effective response to a catastrophic earthquake striking the region.

Cal OES is initiating the revision of the CONPLAN will be working with Operational Areas to ensure that all plans are aligned, in accordance with SEMS. Our goal for the RCPGP draft plans is to partner with the BAUASI and incorporate the draft plans as annexes into the updated CONPLAN and, where appropriate, into the Regional Emergency Coordination Plan (RECP).



The Bay Area UASI Management team has demonstrated tireless leadership, dedication, communication, and coordination with all levels of government to develop these plans. Reaching consensus and ensuring consistency of the roles and responsibilities of government through these draft plans helps ensure local, State, and Federal responders have a framework to build upon as we train to exercise our CONPLAN. The resulting deliverables have many uses beyond the BAUASI and we encourage our Operational Areas and other emergency management partners to use or leverage the information in the 8 draft plans to create their own plans.

Cal OES looks forward to continuing our partnership with the BAUASI and the RCPGP as we strive to make our communities resilient to disasters.

Sincerely,

A handwritten signature in black ink, appearing to read "Jodi Traversaro", is written over a faint, larger version of the signature.

Jodi Traversaro, Coastal Region Administrator  
Governor's Office of Emergency Services (Cal OES)

cc: Charles Simpson, Deputy Director for Response and Recovery, Cal OES  
Christina Curry, Deputy Director for Planning, Preparedness, and Prevention, Cal OES  
Brendan Murphy, Deputy Director for Finance and Administration, Cal OES  
Steve Sellers, Assistant Director for Response, Cal OES  
Jennifer Chappelle, Deputy Coastal Region Administrator, Cal OES  
Craig Dziedzic, General Manager Bay Area UASI  
Catherine Spaulding, Assistant General Manager Bay Area UASI



**To:** Bay Area UASI Approval Authority  
**From:** Dennis Houghtelling, Commander  
Bay Area UASI Regional Training & Exercise Project Manager  
Corinne Bartshire  
Bay Area UASI Regional Resilience and Recovery Project Manager  
**Date:** January 8, 2015  
**RE:** Item #6: Urban Shield 2014 After Action Report Summary

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**Staff Recommendations:**

No Recommendation.

**Action or Discussion Items:**

Discussion Only

**Discussion/Description:**

**2014 URBAN SHIELD AFTER ACTION REPORT:**

This report is being presented to the Bay Area UASI Approval Authority to provide an end of exercise summary regarding the Urban Shield 2014 full-scale exercise. The Urban Shield event kick-off took place on September 4, 2014 with training seminars and a full day vendor show. The exercises started with the conduct of Yellow Command on September 5, 2014. The other Area Commands consisting of tactical teams (law enforcement, urban search and rescue, hazardous materials), fire teams, and EOD teams conducted additional exercises on September 6<sup>th</sup> and 7<sup>th</sup>. The overall exercise concluded with a Hot Wash and Awards Dinner Banquet on September 8<sup>th</sup>. This presentation will provide the Approval Authority with an overview of exercise highlights as well as a summary of the After Action Report findings.

The presentation also includes a brief report on the EOC Functional Exercise component outcomes. Yellow Command was designed to engage local Offices of Emergency Services, and multi-agency coordination with first responders. This year the Bay Area region exercised a mass casualty scenario. Yellow Command is an annual functional exercise opportunity for EOCs

throughout the Bay Area to activate and practice information sharing and operational coordination. Per SEMS, the Cal OES Coastal Region EOC was activated to effectively replicate a catastrophic event with regional impacts. Exercising one or more of the 8 functional Regional Catastrophic Planning Grant Program (RCPGP) plans through Yellow Command is a component of the Bay Area's RCPGP Sustainment Plan.

This year the Donations Management and elements of the Logistics regional plans were tested. A Regional Joint Information Center / Joint Information System (JIC/JIS) was also activated and exercised.

The Urban Shield 2014 Incident Commander, Alameda County Sheriff's Office Captain Garrett Holmes and the Yellow Command Exercise Director, Corinne Bartshire, will provide the After Action Report summary presentation to the Approval Authority.

**CONCLUSION:**

Questions/Answers

Approval Authority Comments



24 17 365

**CRITICAL  
TRAINING**

12 1  
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9 4 2011 3

**CRITICAL  
TIMES**

2011



# SUPPORTING AGENCIES 2014



Bay Area Urban Areas  
Security Initiative



US Department of  
Homeland Security



California Office of  
Emergency Services

**URBANSHIELD** 2014  
EVENT DATE – Sept. 4<sup>th</sup> – 8<sup>th</sup>

VENDOR SHOW – Sept. 4<sup>th</sup> & 5<sup>th</sup>

CRITICAL  
TRAINING  
4  
CRITICAL  
TIMES

# URBAN SHIELD

- Urban Shield is the largest disaster preparedness/tactical training exercise in the nation for Law enforcement, fire, EMS, EOD and EOC personnel. Urban Shield provides a multi-layered training exercise at critical infrastructure locations throughout six counties and 57 realistic scenarios in the San Francisco Bay Area to enhance the skills of first responders and those responsible for managing large scale events.
- Their capabilities and equipment were evaluated to provide an accurate regional assessment, a Gap Analysis, After Action Report (AAR) and Improvement Plan for the Region. The AAR has been submitted and approved.
- Urban Shield operates under the principals of the National Incident Management System (NIMS), Standardized Emergency Management System (SEMS), and the Incident Command System (ICS) structure.
- The Urban Shield 2014 FSE assessed the overall Bay Area UASI Region's capabilities, assets, plans, policies, and procedures for responding to multiple emergency situations occurring simultaneously within the Bay Area.



**URBAN SHIELD** 2014  
EVENT DATE – Sept. 4<sup>th</sup> – 8<sup>th</sup>

VENDOR SHOW – Sept. 4<sup>th</sup> & 5<sup>th</sup>

**CRITICAL TRAINING 4**  
**CRITICAL TIMES 5**



# EMERGENCY OPERATIONS CENTER (EOC)



# SCENARIO SUMMARY

- 30 Tactical
- 17 Fire (HAZMAT / USAR / Maritime-Water Rescue)
- 4 EOD
- 4 Medical Checkpoints
- 1 Physical Challenge
- 1 Regional Catastrophic Preparedness Plan Mass Casualty, Hospital Surge and JIC / JIS.



**URBANSHIELD** 2014  
EVENT DATE – Sept. 4<sup>th</sup> – 8<sup>th</sup>

VENDOR SHOW – Sept. 4<sup>th</sup> & 5<sup>th</sup>

CRITICAL TRAINING 4  
CRITICAL TIMES

# AREA COMMANDS

## Black Command



Alameda County  
Sheriff's Office  
Sheriff  
Gregory J. Ahern

## Blue Command



Alameda County  
Sheriff's Office  
Sheriff  
Gregory J. Ahern

## Green Command



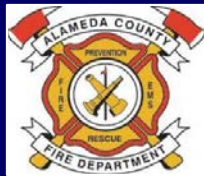
San Francisco  
Police  
Department  
Chief  
Greg Suhr

## Gold Command



Alameda County  
Sheriff's Office  
Sheriff  
Gregory J. Ahern

## Red Command



Alameda County  
Fire Department  
Chief  
David Rocha

## Silver Command



San Mateo  
County Sheriff's  
Office  
Sheriff  
Greg Munks

## Yellow Command



Regional Catastrophic  
Planning Team  
Corinne Bartshire  
Lt. Melanie Ditzenberger

## White Command



Alameda County  
Sheriff's Office  
Sheriff  
Gregory J. Ahern



**35**  
**PARTICIPATING**  
**TACTICAL**  
**TEAMS**



26

PARTICIPATING

FIRE

TEAMS



9  
PARTICIPATING  
EOD  
TEAMS



# URBAN SHIELD 2014 TESTED SEVERAL CORE CAPABILITIES

- Operational Coordination – All Scenarios
- Operational Communications – All Scenarios
- Interdiction and Disruption – All LE Tactical Scenarios
- Mass Search and Rescue – All US&R Scenarios
- Environmental Response Safety and Health – All HazMat Scenarios
- On-Scene Security and Protection – All EOD Scenarios
- Physical Protective Measures – Scenarios 11, 15-17, 19, 20, 22-24, 32-35
- Public Health & Medical Services – Scenarios 6, 12, 21, 30





# EXERCISE GOALS AND LESSONS LEARNED

**URBANSHIELD** 2014  
EVENT DATE – Sept. 4<sup>th</sup> – 8<sup>th</sup>

VENDOR SHOW – Sept. 4<sup>th</sup> & 5<sup>th</sup>

CRITICAL  
TRAINING  
4  
CRITICAL  
TIMES





# AREA COMMANDS

## Exercise Goal:

Test and evaluate the region's ability to establish a fully integrated response system under SEMS/NIMS guidelines and to maintain effective operational communications and coordination between IC/DOC, Area Commands/EOCs, and responders in the field.

## Identified Strengths:

Participants displayed significant competency at operating within NIMS/ICS guidelines.

This year showed improvement in the video conferencing capabilities.

## Area for Improvement:

PIOs tended to focus more on social media feeds than traditional media reporting and coverage.

## Recommendation:

PIOs tended to focus more on social media feeds than traditional media report and coverage which caused them to miss, or at least be delayed in being aware of, a few important injects and development.

It is recommended EOC personnel attend additional training for the dissemination of public information.

# EMERGENCY MEDICAL SERVICES (EMS)

## Exercise Goal:

Test and evaluate EMS' ability to effectively and appropriately provide pre-hospital triage, treatment, transport, tracking of patients, and documentation of care appropriate for the incident, while maintaining the capabilities of the EMS system for continued operations up to and including for mass casualty incidents.

## Identified Strength:

EMS/Medical first responders continued to do well triaging patients. This year more teams were using colored tape to mark the wounded with tactical teams assisting with the triage.

EMS/Medical first responders again this year did very well at recognizing and treating the medical needs of those who had been triaged. Medical personnel addressed individuals in a timely manner, rendering the care necessary to sustain life while in transit to a medical facility. Hemorrhage control was a priority as well as applying chest seals and compresses.

## Area for Improvement:

Law Enforcement and EMS/Medical first responders had very limited interaction and planning prior to entry. This gap has been seen in previous Urban Shield exercises.

## Recommendation:

Include EMS/Medical First Responders into the planning phase of the operation rather than "We'll call you when we want you to come in."

Teams should continue to train with other disciplines with a focus on conducting briefings and receive additional training in the area of Tactical Emergency Casualty Care (TECC) or Tactical Combat Casualty Care (TCCC).

# EXPLOSIVE ORDNANCE DISPOSAL (EOD)

## Exercise Goal:

Evaluate Regional Bomb Squads' core capabilities to provide on-scene security and protection. This involves the following steps in priority order: ensure public safety; safeguard the officers on the scene (including the bomb technician); collect and preserve evidence; protect and preserve public and private property; and restore public services.

## Identified Strength:

Explosive Ordnance Disposal (EOD) teams were proficient in their rapid response and evaluation of the suspected vehicle bomb.

EOD teams were aware of potential crime scene aspects in a scenario that would follow if their investigation of an incident revealed an attempt to poison the city's water supply.

EOD teams improved their response by providing force protection and utilizing cover.

## Area for Improvement:

EOD teams did not choose the proper level of personal protective equipment (PPE) that would allow them to effectively and efficiently find all explosive devices.

## Recommendation:

A standardized operating procedure for making needed adjustments to the appropriate level of personal protection equipment based on the task can be created by teams prior to an occurrence. This pre-planning can give team members a flexible guideline to assist in decision making.

# HAZARDOUS MATERIALS RESPONSE TEAMS (HAZMAT)

## Exercise Goal:

Responders in the Bay Area are able to conduct health and safety hazard assessments and disseminate guidance and resources, including deploying HazMat response and decontamination teams, to support immediate environmental health and safety operations in the affected area(s) following a WMD or HazMat incident.

## Identified Strength:

HazMat teams have shown an improvement in detection and handling of radiological material.

It was noted by evaluators that HazMat teams continue to place a large emphasis on conducting rescues in a safe and timely manner.

## Area for Improvement:

HazMat teams had difficulty with the management of possible evidence and documentation of the scene.

HazMat Teams showed difficulty recognizing and passing on critical information found during the entry into a clandestine lab.

## Recommendation:

Further training and practice for the proper collection of samples while using aseptic techniques.

# URBAN SEARCH AND RESCUE (USAR)

## Exercise Goal:

Deliver traditional and atypical search and rescue capabilities, including personnel, services, animals, and assets to survivors in need, with the goal of saving the greatest number of endangered lives in the shortest time possible.

## Identified Strength:

US&R teams did extremely well in recognizing and relaying details of the hazardous situations found at individual sites. Based on these observations, options for courses of action were discussed and requests for additional resources were made in an expedient manner.

## Area for Improvement:

US&R teams did not approach the scene involving a building collapse with the appropriate level of pre-investigation or caution and did not pay enough attention to on-scene security.

## Recommendation:

Conduct additional US&R Paramedic training (trauma-oriented skills) that emphasizes initial patient contact time.

Utilize team training for shoring and forcible entry training classes, concentrating on established times to perform tasks.

# MARITIME – WATER RESCUE

## Exercise Goal:

Public safety personnel in the Bay Area are able to conduct search and rescue operations to locate and rescue persons in distress and initiate community-based search and rescue support-operations across a geographically dispersed area.

## Identified Strength:

Maritime teams did a good job developing tactical plans based on each agency's capabilities. Teams need to become more familiar with the resources available in the region to better increase the victim's chances of survival.

## Area for Improvement:

Water Rescue teams showed no continuity across agencies in boat operating or understanding of techniques, procedures, maneuvers and equipment.

## Recommendation:

Agencies need to train together in exercises like Urban Shield and attend the same Water Rescue course to assure uniformity across the region.

# LAW ENFORCEMENT TACTICAL TEAMS

## Exercise Goal:

Assess the regional capabilities of law enforcement to deploy, communicate and use specialized equipment and training in coordination with other agencies for the successful search and interdiction of terrorists and the rescue and treatment of any hostages.

## Identified Strength:

It was noted that after the threat was eliminated, some tactical teams assisted EMS in administering medical care under the Tactical Emergency Casualty Care guidelines. Operators showed increased knowledge in how to treat the wounded, an improvement from last year.

Evaluators observed that teams who utilized a standardized briefing format check-off sheet during the planning phase were well organized and performed very well throughout the scenarios.

## Area for Improvement:

Tactical teams showed different levels of proficiency with IEDs. Teams continue to have difficulty locating and mitigating IEDs, sometimes inadvertently setting them off.

Tactical teams also showed differing levels of proficiency dealing with booby-traps.

## Recommendation:

Additional training in locating, identifying and overcoming booby-traps and IEDs during tactical operations.

It is recommended LE Tactical Teams train with their local EOD team in order to receive training on multiple devices.

THURSDAY, SEPTEMBER 4, 2014 | 0830 -1700 HRS. OAKLAND MARRIOTT CONVENTION CENTER  
**REGIONAL TRAINING SEMINAR SESSION I**



**The Mission Oriented Mass Murderer: A Behavioral and Operational Perspective**  
*Mary O'Toole, FBI Special Agent (Ret.)*  
*Behavioral Analysis Unit*



**The Medical Response to the Asiana Flight 214 Plane Crash at SFO**  
*Eric A. Weiss, MD, FACEP*  
*Stanford University, Division of Emergency Medicine*



**Lessons Learned: Los Angeles International Airport (LAX) Shooting**  
*Patrick M. Gannon, Deputy Executive Director*  
*Homeland Security and Public Safety for LAWA*



**Police Under Attack: A Debrief/Review of the Christopher Dorner Incident**  
*Trevis Newport , Sergeant*  
*Mauricio "Moe" Hurtado, Detective*  
*San Bernardino County Sheriff's Department*



## REGIONAL TRAINING SEMINAR SESSION II



### Medical Training

*Leslie Javine*

*Law Enforcement and First Response Tactical Casualty Care (LEFR-TCC)*

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## REGIONAL TRAINING SEMINAR SESSION III



### Tactical Emergency Casualty Care

*Geoffrey L. Shapiro, Director EMS & Operational Medicine Training*

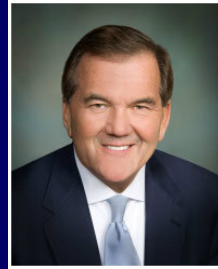
*The George Washington University School of Medicine and Health Sciences*

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# HOT WASH / DINNER BANQUET

MONDAY, SEPTEMBER 8, 2014 | 1600 - 2100 HRS.  
OAKLAND MARRIOTT CONVENTION CENTER

- Program will include:
  - Traditional Bagpipes and Honor Guards
  - Keynote Speaker



*The Honorable Thomas J. Ridge  
CEO of Ridge Global*

- Hot Wash
- Presentation of Awards



24 365

**CRITICAL  
TRAINING**




**CRITICAL  
TIMES**

2011

# 2014 YELLOW COMMAND

Regional Mass Casualty Incident Functional and Full-Scale Exercise

MISSION: Provide an annual structure through which the Bay Area can coordinate multi-agency, regional OES exercises that assess our regional catastrophic plans.



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CRITICAL TRAINING  
CRITICAL TIMES

**URBANSHIELD**

Yellow Command Mass Casualty Incident Full-Scale Exercise  
December 23, 2014

After Action Report/  
Improvement Plan (AAR/IP)

This project is supported by the California Governor's Office of Emergency Services in partnership with the 2013 Urban Area Security Initiative grant funded by the U.S. Department of Homeland Security.

ALBUQUERQUE COUNTY DEFENSE OFFICE




# 2014 YELLOW COMMAND

## EOCs

- Alameda County
- San Mateo County
- San Francisco
- Marin County
- Sonoma County
- Santa Clara County
- City of Sunnyvale
- City of Palo Alto
- City of Oakland
- Cal OES Coastal Region

## Other Key Sites

- Moffett Field
- Stanford Hospital
- UCSF Benioff's  
Children's Hospital  
Oakland



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CRITICAL TRAINING  
CRITICAL TIMES

**URBANSHIELD**

Yellow Command Mass Casualty Incident  
Full-Scale Exercise  
December 23, 2014

**After Action Report/  
Improvement Plan (AAR/IP)**

This project is supported by the California Governor's Office of Emergency Services in cooperation with the 2013 Urban Area Security Initiative grant funds provided by the U.S. Department of Homeland Security.

ALBUQUERQUE COUNTY OFFICE OF EMERGENCY SERVICES

# 2014 YELLOW COMMAND

## Core Capabilities

- Operational Coordination
- Public Health and Medical Services
- Public and Private Services and Resources
- Public Information and Warning

## Regional Objectives

- Evaluate EOC/Hospital coordination on medical surge, patient tracking and movement
- Exercise and evaluate the Regional Catastrophic Earthquake Donations Management Plan
- Stand up a Joint Information Center and evaluate the Joint Information System

# PARTICIPANTS

- American Red Cross
- Cal OES Coastal Region
- California Army National Guard (91<sup>st</sup> CST)
- California State Threat Assessment Center
- California Volunteers
- County of Alameda
- County of Contra Costa
- County of Marin
- County of San Mateo
- County of Santa Clara
- County of Solano
- County of Sonoma
- City and County of San Francisco
- City of Belmont
- City of Berkeley
- City of Fremont
- City of Mountain View
- City of Oakland
- City of Palo Alto
- City of Redwood City
- City of South San Francisco
- City of Sunnyvale
- UCSF Benioff's Children's Hospital Oakland
- Alta Bates Summit Medical Center
- Stanford Hospital & Clinics
- Camp Parks
- El Camino Hospital, Los Gatos
- El Camino Hospital, Mountain View
- Good Samaritan Hospital
- Google
- Intel Corporation
- Kaiser Permanente San Jose Medical Center
- Kaiser Permanente Santa Clara Medical Center
- Lucile Packard Children's Hospital
- Mutual Aid Public Information Officers
- NASA Ames Research Center
- O'Connor Hospital
- Regional Medical Center of San Jose
- San Francisco General Hospital & Trauma Center
- Santa Clara Valley Medical Center
- Saint Louise Regional Hospital
- US Army Reserve
- USCG (Coast Guard Training Center Petaluma)
- VA Palo Alto Health Care System

## MASS CASUALTY SCENARIO

Three improvised explosive devices detonate at a military appreciation event at Moffett Federal Airfield. The attack produces a mass casualty and fatality incident.





# GOOGLE PARTNERSHIP

- Patient tracking via cell phone (including one bad guy in a law enforcement vehicle)
- Google glass for SWAT team
- Google collaboration platform for public information officers



# AFTER ACTION ITEMS

## Core Capability:

- Operational Coordination



## Identified Strengths:

- Operational Area EOC communication with Coastal Region EOC
- Simulation Deck enhanced situational awareness through mock media

## Areas for Improvement:

- Information sharing and management within the Coastal Region can be improved
  - Revise the format of the regional teleconference calls
  - Further develop use protocols for WebEOC and CalEOC

# AFTER ACTION ITEMS

## Regional Objective:

- Evaluate EOC/Hospital coordination on medical surge, patient tracking and movement



## Identified Strengths:

- County of Santa Clara Multiple Patient Management Plan
- Coastal Region medical/health system engaged in preparing for mass casualty operations

## Areas for Improvement:

- Patient movement can be evaluated more effectively through actual transport rather than use of pre-staged paper tags
- Difficulty of obtaining status of military personnel through the med/health system

# AFTER ACTION ITEMS

## Regional Objective:

- Exercise and evaluate the Regional Catastrophic Earthquake Donations Management Plan

## Identified Strengths:

- Operational Areas tested donations management functions
- Cal Volunteers practiced the virtual receipt of monetary donations at the Coastal Region EOC

## Areas for Improvement:

- Due to real world events the Coastal Region EOC was unable to staff in-kind donations management operations.

# AFTER ACTION ITEMS

## Regional Objective:

- Stand up a Joint Information Center (JIC) and evaluate the Joint Information System (JIS)



## Identified Strengths:

- Provided over 50 PIOs their first opportunity to practice coordination within a Bay Area Regional JIS.
- Collaboration of more than 25 PIOs from multiple jurisdictions in the Yellow Command JIC facility.

## Areas for Improvement:

- Create a Regional JIS framework or plan
- Identify regional online collaboration platform needs
- Explore media monitoring team models
- Continue exercising Regional JIS processes

# 2014 YELLOW COMMAND

All participating agencies have received the complete Yellow Command After Action Report and Improvement Plan.

Questions regarding Yellow Command may be directed to:

Corinne Bartshire, Bay Area UASI  
Yellow Command Exercise Director  
415-353-5234

[corinne.bartshire@sfgov.org](mailto:corinne.bartshire@sfgov.org)





**To: Bay Area UASI Approval Authority**

**From: David Frazer, UASI Risk & Information Sharing Project Manager**

**Date: January 8, 2015**

**Re: Item #7: Update on NCRIC Cyber Program**

---

**Staff Recommendations:**

None

**Action or Discussion:**

Discussion only.

**Discussion:**

At the August 2013 meeting, the Approval Authority approved an allocation of \$405,220 to fund the NCRIC Cyber Program to include three cyber security analyst positions, cyber training for public/private sector end users, IT systems vulnerability testing and the development of an intern program to assist with the program deliverables.

Brian Rodrigues and Dana Killian from the NCRIC will report out on the progress of the project. The performance period for the project ended at the end of the 2014 calendar year. A copy of the presentation is attached to view-ahead.





**To: Bay Area UASI Approval Authority**

**From: David Frazer, UASI Risk & Information Sharing Project Manager**

**Date: January 8, 2015**

**Re: Item #8: Regional Public Safety Information Sharing Systems Update**

---

**Staff Recommendations:**

None

**Action or Discussion Items:**

Discussion only. Bay Area Information Systems Projects Managers will provide an update regarding the information sharing initiatives supported with UASI funds.

**Discussion:**

The attached presentation drafted by the Bay Area Information Systems Project Managers is provided as view-ahead material. They will make a short presentation during the meeting to underscore the current status of the regional data sharing efforts and the value of UASI funding.

# BAY AREA UASI

## Bay Area Information Sharing Report

January 8, 2015

Presented by:

### Bay Area Sharing System Node Administrators

East Bay ARIES- Jason Vorhauer, Lieutenant Contra Costa  
County SO

West Bay COPLINK- Ronda Caine Alcantara, NCRIC Data Sharing  
Partnership Administrator

South Bay COPLINK- Juan J. Gallardo, IT Director Santa Clara  
County SO



## Data Sharing Benefits

### Benefits and Technical Terminology

- **Tactical:** Tactical benefits for Officer in the Field. Providing critical information to officer entering any situation. “Full Visibility”
- **Investigative:** Providing investigators with cross-jurisdiction information. “360 View of Case”
- **Strategic:** Providing Links to other regional sharing systems to support strategic information sharing at the State and National Level.



# Data Sharing Benefits



Data Sharing is Local, Regional and National

As a direct result of Data Sharing and through leveraging existing technology, Law Enforcement Personnel are able to realize Tactical, Strategic, and Investigative benefits.



# Previous Status of Information Sharing

## PRIOR TO 2010





Previous Status of Information Sharing

Only 1

PRIORITIES 2010

The

Bay Area Regional Sharing Systems

and

DID NOT EXIST  
South Bay

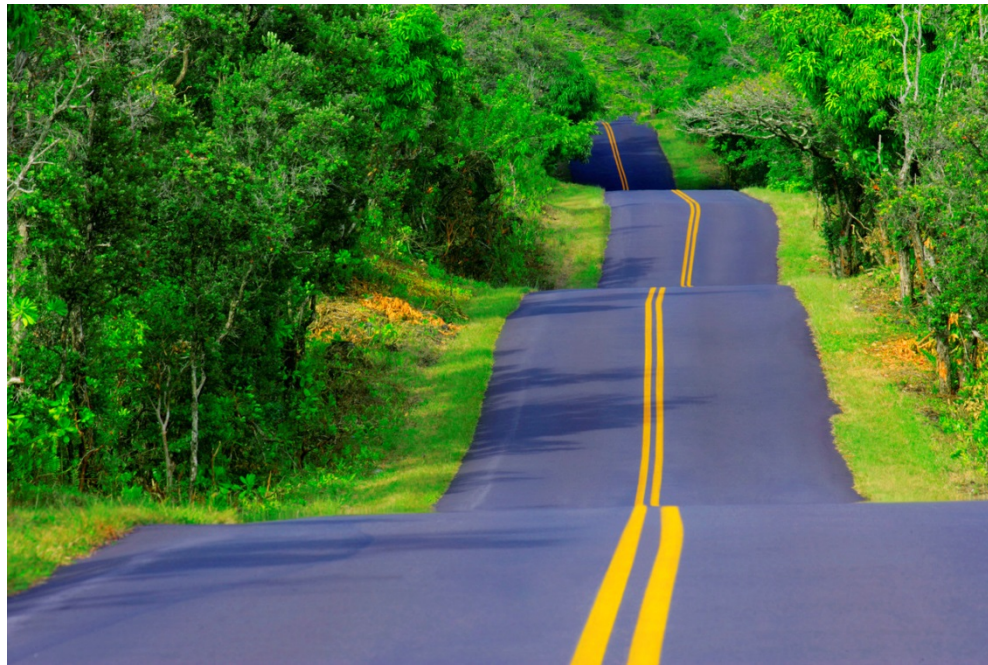






## Current Status of Information Sharing

**Here in our Bay Area UASI Region:  
UASI funding has allowed us to build the  
roads that enable Data Sharing**





# Three Regional Systems Interconnect the Bay Area

Napa County  
5 Agencies

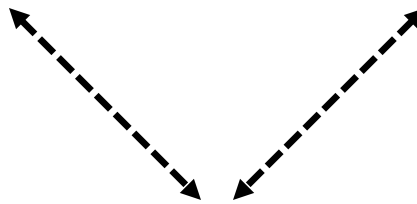
Sonoma County  
10 Agencies

Marin County  
6 Agencies

San Mateo County  
21 Agencies



NCRIC  
West/North Bay



Contra Costa Sheriff  
East Bay Agencies



Santa Clara County Sheriff  
South Bay Agencies

Solano County  
7 Agencies

Contra Costa County  
10 Agencies

Alameda County  
10 Agencies

San Joaquin County  
6 Agencies

San Benito County  
3 Agencies

Santa Clara County  
14 Agencies

Monterey County  
13 Agencies

Santa Cruz County  
5 Agencies

# BAY AREA UASI REGIONAL SHARING LINKS – WESTERN UNITED STATES

**16 Regional Systems Cover 78% of CA Law Enforcement, sharing over 250 Million records**

- Sacramento Central Valley Information Sharing System Node
- SF West Bay Node
- SF South Bay Node
- Kern County Node
- San Bernardino-Riverside Node
- LASD Node
- LA Regional Terrorism Information Integrated System
- Orange County Node
- San Diego and Imperial County Node
- FBI National Data Exchange - N-Dex
- Stanislaus County Node
- Santa Barbara Node
- SmartJustice – DOJ Node

## Beyond California

- Maricopa County, Arizona
- Spokane, Washington
- Oregon RAIN
- FBI National Data Exchange

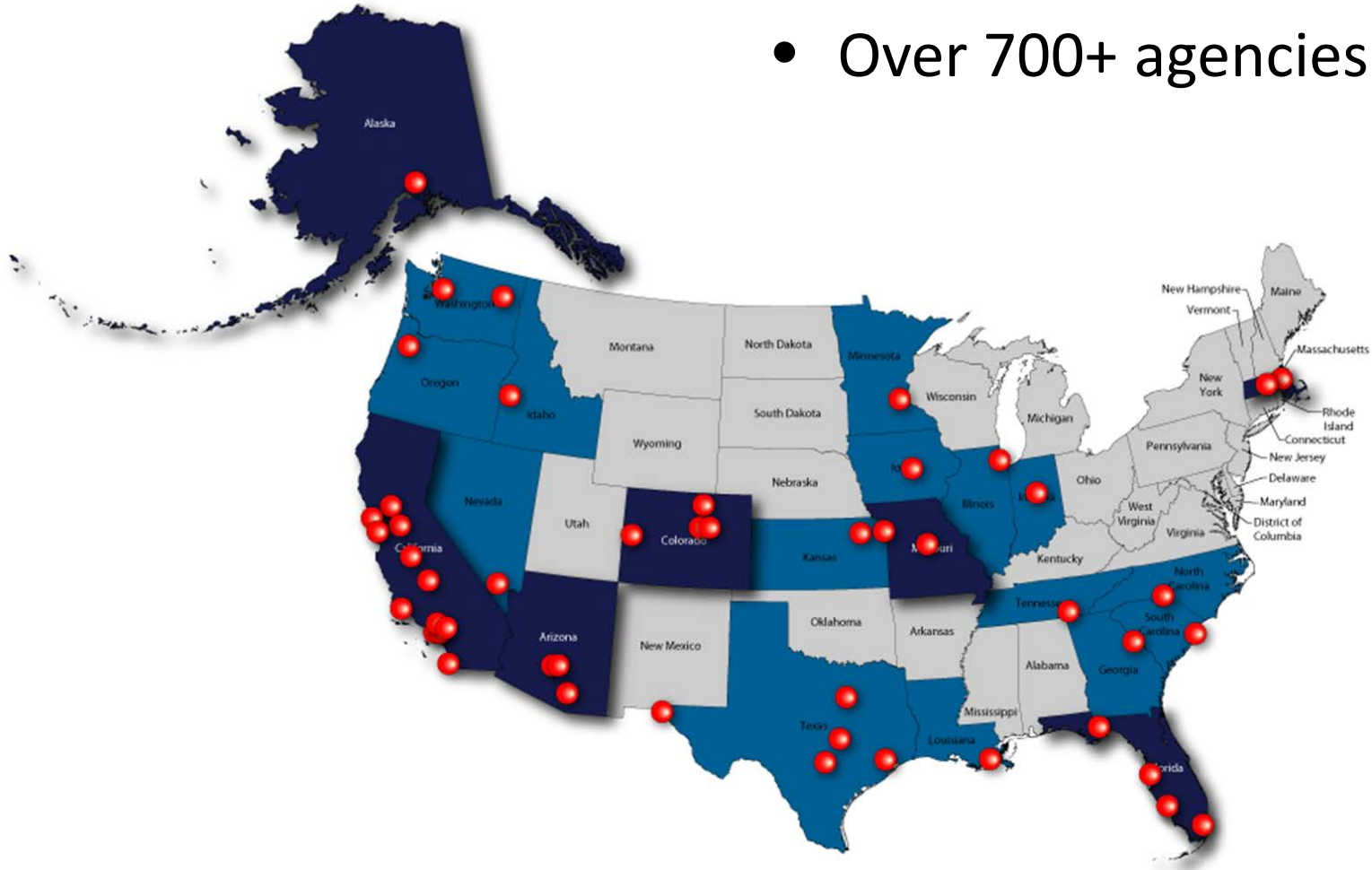
## Up Next

- Las Vegas
- Colorado



# Information Sharing National Map

- 49+ nodes
- Over 700+ agencies





## Information Sharing Statistics

- As a Bay Area Region we provide data and have access to data residing in every one of the California Regional Sharing Systems. This is accomplished via MOU agreements and node to node links.
- As a result our Bay Area Region has access to over 250 million records
- Before 2010 less than 30 Bay Area agencies participating in Data Sharing.
- After 2010 more than 110 Bay Area agencies participating in Data Sharing
- By end of 2015 will have in excess of 150 Bay Area agencies participating in Data Sharing





# Recent Bay Area Region Accomplishments

- Implemented Flexible MOU agreements and System Use Policies as a Region
- Implemented new technology to address newly implemented Supervised release programs (AB109, Prop 47)
- Partnered with DOJ for SmartJustice Data Integration
- Gone from under 30 Agencies participating in Data sharing to over 110
- Have over 10,000 users accessing Bay Area Data sharing tools
- Have Implemented ALPR Data Warehouse
- Have Implemented Facial Recognition capabilities
- Have expanded Data Sharing beyond Bay Area to rest of California and into Washington, Nevada, Colorado, Oregon and Nationally via FBI N-Dex







# Cost Sharing

- Orange County utilizes the “Population” model
- Sacramento County utilizes the “# of Sworn” model
- Contra Costa utilizes a Population based model for Contra Costa County Agencies
- Contra Costa utilizes a Flat Fee model for non- Contra Costa county agencies.
- SBISS utilizes a hybrid model (“# of Sworn/Population”) See following Snapshot

\*\*With UASI sustainment, many of the above models have applied cost sharing returns to ensuring Host site resource and support costs have been covered.



# Current Cost Sharing Example

<b>Santa Clara County</b>	<b>2010 Population</b>	<b>% of Total Population</b>	<b>UASI Sustainment</b>	<b>Minus UASI Sustainment</b>
Campbell PD	39,349	2.16%	\$ 1,198.61	\$ 3,576.91
Gilroy PD	48,821	2.68%	\$ 1,487.14	\$ 4,437.93
Los Altos PD	28,976	1.59%	\$ 882.64	\$ 2,633.98
Los Gatos PD plus Monte Sereno	32,754	1.80%	\$ 997.72	\$ 2,977.41
Milpitas PD	66,790	3.67%	\$ 2,034.50	\$ 6,071.35
Morgan Hill PD	37,882	2.08%	\$ 1,153.93	\$ 3,443.55
Mountain View PD	74,066	4.07%	\$ 2,256.13	\$ 6,732.76
Palo Alto PD	64,403	3.54%	\$ 1,961.79	\$ 5,854.37
San Jose PD	958,966	52.68%	\$ 29,211.17	\$ 87,172.06
San Jose State Univ PD	24,048	1.32%	\$ 732.53	\$ 2,186.01
Santa Clara PD	116,468	6.40%	\$ 3,547.74	\$ 10,587.19
Sheriff Unincorporated plus: (Cupertino, Saratoga, Los Altos Hills)	187,747	10.31%	\$ 5,718.98	\$ 17,066.60
Sunnyvale DPS	140,081	7.70%	\$ 4,267.02	\$ 12,733.66

Figures would not include Agency RMS Replacements Costs





# Success Stories – High Tech Crime **Solved**

“The Rapid Enforcement Allied Computer Team (REACT) has been actively using **COPLINK** since the investigators had the training. In the recent case involving a **\$37,000,000** high tech robbery in Fremont, investigators used COPLINK to locate associates of known suspects to those we had no idea had previous involvement together. “ **Sergeant Michael Jacobs – REACT Task Force**



*Santa Clara County District Attorney's Office*

*From left, Jesus Meraz Jr., Dylan Catayas Lee, Rolando McKay Secreto, Leonard Abriam and Pierre Ramos*

Five suspects have been charged in the largest computer chip heist in Bay Area history, but the crime-fighting task force that cracked the case is facing an uncertain future.

According to the Santa Clara County District Attorney, the armed and masked perpetrators on Feb. 27 tied up employees of the Fremont chip maker [Unigen](#) and held them at gunpoint before making off with \$37 million worth of computer chips in a big truck.

Jesus Meraz Jr., 25, of San Jose; Dylan Catayas Lee, 32, of San Jose; Rolando McKay Secreto, 28 of San Jose; Leonard Abriam, 31, of San Jose; and Pierre Ramos, 28, of Union City have been charged in the case, while 98 percent of the chips stolen have been recovered. The defendants could face up to life in prison if convicted on all charges, which include armed robbery and kidnapping for robbery.



## Success Stories – Murder Case **Solved**

Homicide Det. Tiffany Vanhook in the Contra Costa Sheriff's Office said investigators use **ARIES** daily, adding that the tool helped solve what became known locally as the "golden flute murder" case. That case involved the murder of retired bank executive Theodore Neff, whose killer also stole the man's golden flute. After the murder, employees of the flute's East Coast manufacturer told detectives that a man called asking about the flute's value. Contra Costa investigators obtained the caller's cell phone number, and **ARIES** handled the rest.

"We didn't have anything other than that and plugged that number into **ARIES**," Vanhook said. The query generated the name of a man, Alejandro Hernandez Rivera, who was previously arrested for driving under the influence. Rivera had given authorities his cell phone number during his DUI arrest, and it was now searchable in the ARIES database. Rivera was convicted of first-degree murder.



# Success Stories –Suspect **Apprehended**

An Officer who was assigned to a Countywide Roadblock for a Robbery that had just occurred in San Carlos, took the information that was being broadcast and entered it into Coplink from his patrol vehicle.

He had the following information:

1. BMA heavy set with a tattoo on his right arm
2. WFA with a tattoo of "Maurice" on the back of her neck
3. Associated Vehicle was a blue Buick with oxidized paint

From that, by searching **COPLINK**, he was able to find a 1987 Buick license 2GIJ248 that was associated with a BMA named Maurice Dedeaux and a WFA named Sonia Carrasco (who also has a tattoo of Maurice on her neck).

Those people were previously involved in a Suspended Driver's license stop in that car in East Palo Alto, which is why they were in the Coplink system. The officer notified the agency who had the robbery of his findings. A photo lineup of these people was created and shown to the victim who identified them both.

The officer sent an email stating "I am a big fan of COPLINK!!"





# Future Requests

- The UASI has a substantial investment in Bay Area information sharing (\$6,755,391.00).
- If UASI sustainment is discontinued, agencies who rely on the UASI to subsidize their cost sharing model will lose participating agencies due to increased cost.
- If UASI sustainment is discontinued, the substantial progress made in the expansion of Bay Area information sharing will become stagnate.
- If UASI sustainment is discontinued, hardware and software improvements to each Bay Area information sharing database will become difficult.
- A UASI continued investment in Bay Area information sharing projects is the life blood of Regional collaboration.



Thank You

**BAY AREA UASI**





**To: Bay Area UASI Approval Authority**

**From: Corinne Bartshire, Regional Resilience and Recovery Project Manager**

**Date: January 8, 2015**

**Re: Item #9: Continuity of Operations / Continuity of Government Toolkit project update**

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**Staff Recommendation:**

None

**Action or Discussion Item:**

Discussion only. This item updates the Approval Authority on the Continuity of Operations / Continuity of Government (COOP/COG) Toolkit project. In December 2013, the AA approved funding for this recovery project and a previous update was provided in May 2014.

**Discussion:**

*Background*

The purpose of this project is to develop benchmark criteria for Bay Area COOP/COG planning and establish a toolkit to assist local jurisdictions with completion of COOP/COG plans. COOP/COG planning strengthens the community's resiliency and enhances their ability to respond and recover from disasters.

*Update on Activities*

To date, a regional capabilities assessment has been completed and two regional workshops have been conducted with the Regional Catastrophic Planning Team (RCPT) and other identified stakeholders. The project stakeholders continue to serve as oversight in the development of the toolkit's components through the current review of draft materials. The toolkit components consist of:

- 1) COOP Plan Template
- 2) COOP Planning Handbook
- 3) COOP Planning Benchmarks

All final products will be available on the Bay Area UASI website [www.bayareauasi.org](http://www.bayareauasi.org).



# BAY AREA UASI

## **Continuity of Operations / Continuity of Government Planning Toolkit project update**

January 8, 2015

Corinne Bartshire  
Regional Resilience and Recovery Project Manager  
Bay Area UASI





## What is COOP Planning?

COOP planning is designed to develop and maintain a program that enables the County/City to preserve and reconstitute its capability to function effectively if a disaster or emergency disrupts operations of one or more of its departments.



# FEMA/Cal OES COOP Standard Elements

Essential  
Functions

Orders of  
Succession

Delegations of  
Authority

Continuity  
Facilities

Continuity  
Communications

Human Capital  
Management

Vital Records

Tests, Training &  
Exercises

Devolution

Reconstitution

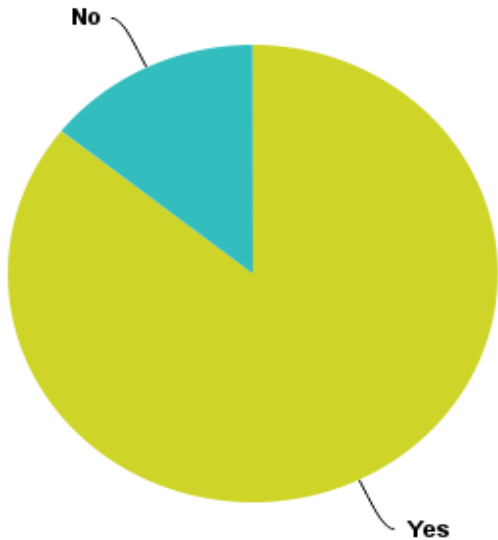


## Bay Area COOP/COG Project Goals

- Assess existing COOP/COG planning levels and capabilities
- Develop agreed upon standards for COOP/COG planning for the Bay Area Region
- Provide tools to assist local jurisdictions in achieving the identified standards to enhance recovery capabilities for the entire region

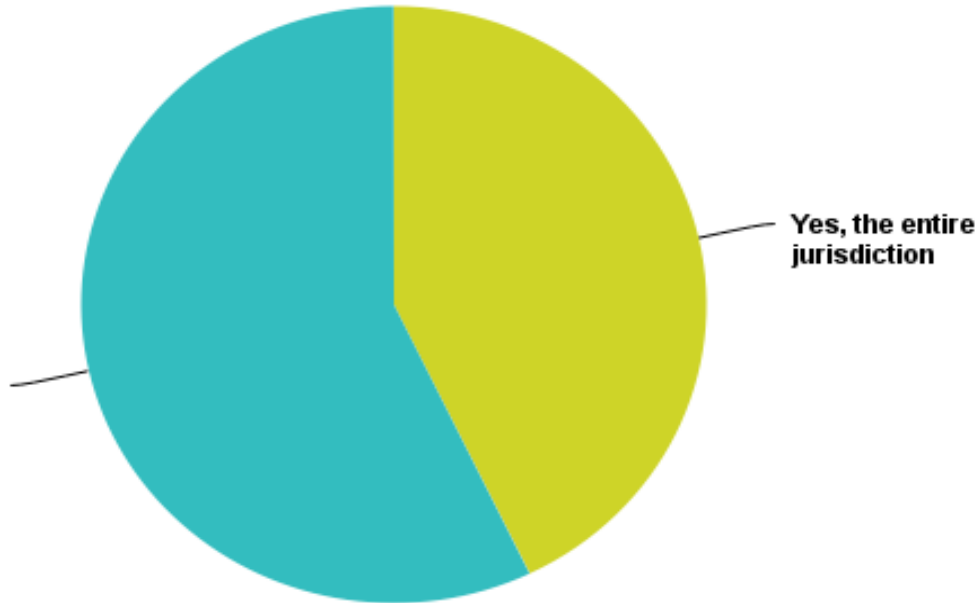
# Assessment Highlights

Do you have an existing Continuity of Government (COG) plan?



Do you have a Continuity of Operations Plan (COOP) for the entire jurisdiction or only certain departments?

**No, only certain departments (please list in question 8 below)**





# Assessment Highlights

## Recommendations

- Create a toolkit that provides ease of use and a manageable amount of content
- Provide staffing resources for local jurisdictions to develop COOPs/COGs
- Address citywide and department specific planning
- Include risk vulnerability analysis





# Bay Area COOP Toolkit Components

**COOP Template** - The COOP template provides for a common framework for each County/City plan. It is the new standard and is to be used in conjunction with the COOP Handbook. This will guide your COOP plan development but the content will be customized to each County/City.

**COOP Handbook** - The COOP Handbook contains the forms and worksheets needed to complete your County/City COOP plan. It also provides “COOP 101” for a new COOP Manager; direction for an experienced COOP Manager who may be starting a plan from scratch or County/City’s that may be starting over and need to thoroughly revise their COOP Plan.



# 10 Bay Area Standard Benchmarks

GOAL - Raise level of resilience across the Bay Area Region

- Educate executive level staff to build buy-in and understanding at a leadership level.
- Include what was traditionally the COG as a component of the COOP
- Develop the COOP as an Annex to the EOP
- Address all 10 essential elements identified by FEMA and Cal OES
- Encourage all departments to develop a COOP
- Identify staff to engage in the COOP planning process
- Identify essential departments based on vulnerability and risk assessments
- Determine a maintenance plan for updating the COOP
- Encourage incorporation of the whole community
- Provide regular COOP training to key staff



# Project Timeline

COOP/COG Toolkit Workshop #1	9/24/2014
Draft products distributed to RCPT for review	12/4/2014
COOP/COG Toolkit Workshop #2	12/10/2014
Revisions and finalization of product deliverables	December 2014 – February 2015
Project completion date	3/31/2015

# Questions?

Corinne Bartshire, Bay Area UASI  
[Corinne.bartshire@sfgov.org](mailto:Corinne.bartshire@sfgov.org)  
415.861.9005

**BAY AREA UASI**





**To: Bay Area UASI Approval Authority**  
**From: Tristan Levardo, CFO**  
**Date: January 8, 2015**  
**Re: Item 10: FY2013 UASI Spending Update**

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**Staff Recommendation:**

Information only

**Action or Discussion Item:**

Discussion only

**Summary**

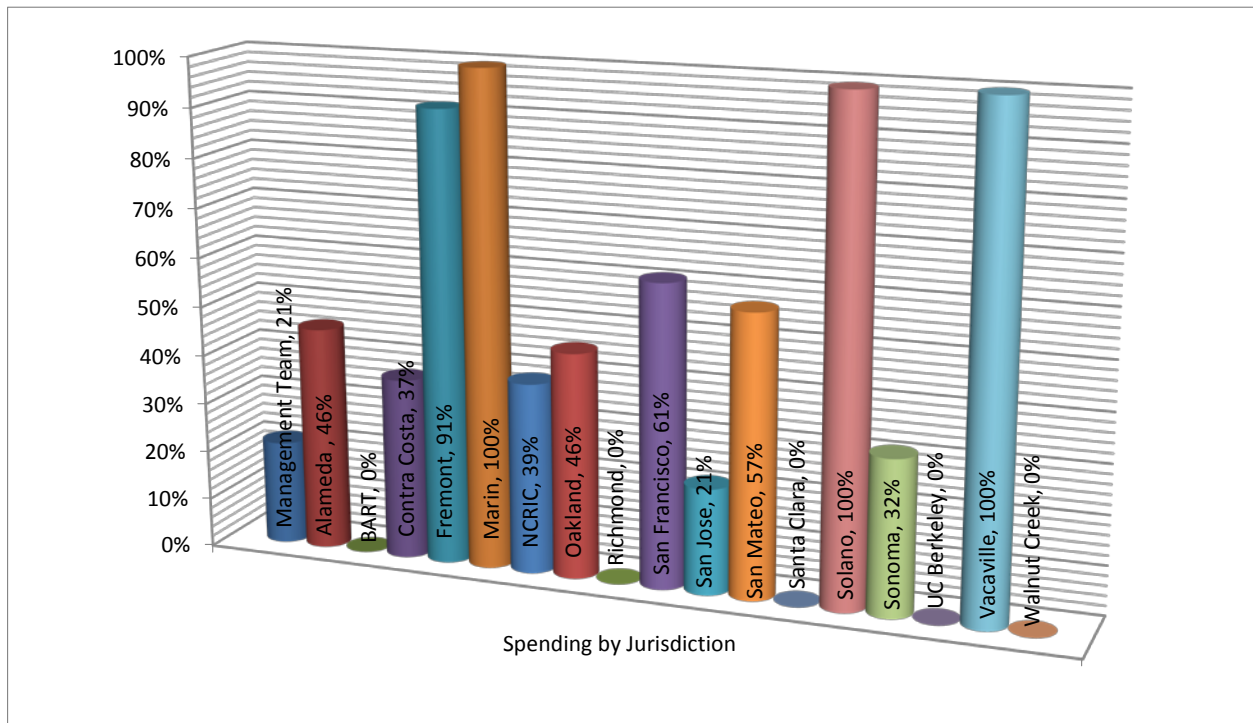
The sub-recipient performance period for FY2013 UASI grant is November 1, 2013 – December 31, 2014, with final claim for reimbursement due no later than February 2, 2015.

The table below illustrates spending by jurisdictions. Overall spending shows 38% of the total budget. Our monitoring indicates that all projects are on target for completion by their respective deadlines. Nevertheless, the Management Team is preparing for a regional procurement to address possible leftover funds from our jurisdictions.

**Financial Information:**

Jurisdiction	Budget	Spent	Spent %	Obligated
Management Team	2,462,525	518,202	21%	1,944,323
Alameda	5,600,150	2,551,467	46%	3,048,683
BART	109,170			109,170
Contra Costa	354,000	130,410	37%	223,590
Fremont	70,000	64,036	91%	5,964
Marin	121,615	121,326	100%	289
NCRIC	5,093,251	1,970,823	39%	3,122,428

Oakland	1,015,000	463,670	46%	551,330
Richmond	300,000			300,000
San Francisco	3,301,321	2,017,729	61%	1,283,592
San Jose	865,000	185,622	21%	679,378
San Mateo	1,126,654	642,233	57%	484,421
Santa Clara	2,468,161			2,468,161
Solano	70,625	70,307	100%	318
Sonoma	390,683	123,160	32%	267,523
UC Berkeley	30,145			30,145
Vacaville	60,743	60,743	100%	
Walnut Creek	193,130			193,130
Total	23,632,173	8,919,728	38%	14,712,445





# UASI Approval Authority and Management Team Tracking Tool

January 8, 2015 Approval Authority Meeting

Special Request Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
1	Training and Exercise Annual Report	Presentation	Dennis Houghtelling	9/16/14	2/12/15	
2	Update on SFFD Regional Fireboat	Presentation	Tristan Levarado	9/18/14	2/12/15	
3	RCPGP funds Project Close Out	Presentation	Corinne Bartshire	9/23/14	2/12/15	
4	Napa Earthquake Response and Recovery	Presentation	Kevin Twohey	10/23/14	2/12/15	
5	Risk Management Cycle Kick Off	Presentation	Catherine Spaulding/ Dave Frazer	11/18/14	2/12/15	
6	Regional Procurement Process	Presentation	Catherine Spaulding	12/17/14	2/12/15	
7	Medical-Public Health Regional Exercise close out and FY13 regional project update	Presentation	Eric Shanks	4/21/14	4/9/15	
8	Approval of FY15 Projects	Presentation	Catherine Spaulding	8/20/14	4/9/15	
9	TSGP and PSGP Applications	Presentations	Catherine Spaulding	9/18/14	4/9/15	
10	Bay72 Update	Presentation	TBD	11/18/14	4/9/15	
11	Approval of FY15 UASI Allocations	Presentation	Catherine Spaulding	11/18/14	TBD	To be calendared as soon as possible following the release of the Federal Funding Opportunity Announcement
12	FY13 Regional Recovery Project Close Out	Presentation	Janell Myhre/Corinne Bartshire	4/21/14	5/8/15	
13	NCRIC Annual Update	Presentation	Mike Sena	11/18/14	5/8/15	
14	Resource Inventory Project Update	Presentation	Mikyung Kim-Molina	11/18/14	5/8/15	

15	P25 Build Out Analysis	Presentation	Srijesh Thapa	11/18/14	5/8/15	
16	RCPGP catastrophic plan Just-In-Time training project completion	Presentation	Janell Myhre/Corinne Bartshire	3/6/13	7/9/15	
17	Bay Area UASI Management Team Annual Budget and Annual Report	Presentation	Craig Dziedzic	11/18/14	7/9/15	
18	Critical Lifelines MOU Project	Presentation	Maribel Garcia	11/18/14	7/9/15	
19	Emergency Public Information and Warning Program Update	Presentation	Srijesh Thapa	11/18/14	7/9/15	
20	Bay Area Homeland Security Strategy	Presentation	Catherine Spaulding	11/18/14	8/13/15	
21	2016 UASI Proposal Guidance	Presentation	Catherine Spaulding	11/18/14	8/13/15	
21						
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Regular Items/Assignments						
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Financial Reports	Report	Tristan Levarado		2/12/15 4/9/15 5/14/15 7/9/15 8/13/15	FY11 RCPGP Final Spending Report UASI Travel Expenditures Reallocation of Grant Funds FY12 UASI Spending Report FY13 UASI Spending Report
B	BayRICS JPA Quarterly Report	Report	Barry Fraser			BayRICS JPA Report: 4/9/15; 7/9/15; 10/8/15; 1/14/16; 4/14/16; 10/13/16
C	Election of UASI Officers	Discussion & Action Item	Chair		1/14/16 (Annually)	